



Recommendations Issued by the Office of the
Inspector General That Were Not Closed as of
September 30, 2020



21-008

NOVEMBER 2020



Recommendations Issued by the Office of the Inspector General That Were Not Closed as of September 30, 2020

The attached report contains information about recommendations from the Department of Justice (DOJ) Office of the Inspector General's (OIG) audits, evaluations, reviews, and other reports that the OIG had not closed as of September 30, 2020. To more accurately represent the number of recommendations that have not been closed and the reports in which the recommendations were made, such recommendations that the DOJ determined contain information that is limited official use or classified will be indicated as follows: "[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]" The DOJ may identify a recommendation as containing limited official use or classified information if it determines, for example, that its disclosure to unauthorized individuals could negatively impact law enforcement operations, sources, or methods; expose operational vulnerabilities; or harm national security.

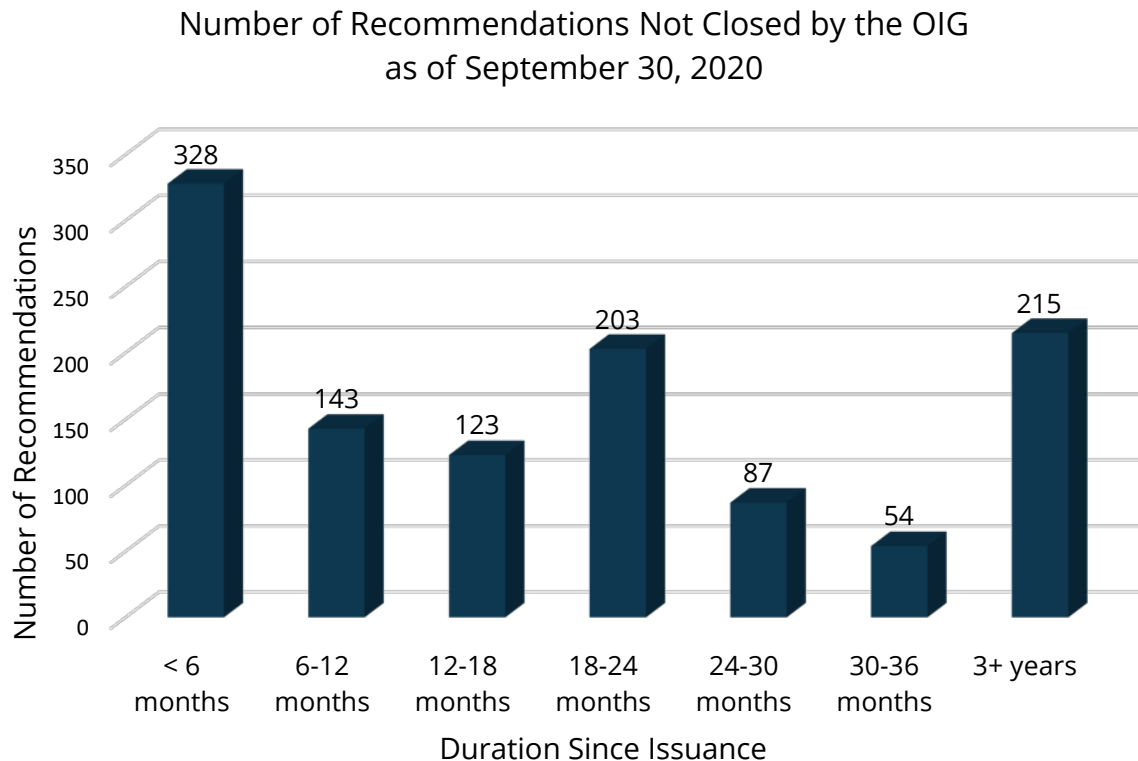
The status of each recommendation is subject to change due to the DOJ's ongoing efforts to implement them, and the OIG's independent review of information about those efforts. Specifically, a recommendation identified as not closed as of September 30, 2020, may now be closed as a result of actions taken after that date. Although the Department may have taken steps to implement the recommendations listed in this report, including by partially remedying the questioned costs associated with a recommendation, a recommendation is not considered closed until it has been fully implemented. Please note that the Department has provided updates for certain recommendations prior to the date of this report that are still under review by the OIG. In addition, the OIG sometimes issues recommendations with multiple subparts in order to better track their implementation. Therefore, the recommendations in the attached report list subparts as separate items.

The following categories are used to describe the status of the recommendations in the report:

- **Response Not Yet Due** – The Department's initial response to the recommendation is not yet due.

- **Resolved** – The Department has agreed to implement the recommendation or has proposed actions that will address the recommendation.
- **Unresolved** – The initial deadline for the Department’s response to the recommendation has passed, and the Department has not agreed to implement the recommendation or has not proposed actions that will address the recommendation. The OIG may also convert a recommendation from “Resolved” to “Unresolved” if it determines that the Department is not making sufficient progress towards implementation.
- **On Hold/Pending with OIG**– The completion of the OIG’s assessment of the status of the recommendation is on hold or pending due to ongoing reviews or other factors.

The information in the following chart is derived from the attached report and includes recommendations that are in the categories described above as of September 30, 2020.



Source: DOJ OIG

The OIG’s assessment of the most significant recommendations that have not been closed is included in our Semiannual Reports, which are available at <https://oig.justice.gov/semiannual/>.

Department of Justice Component Acronyms and Abbreviations

ALLDOJ	Department of Justice
ATF	Bureau of Alcohol, Tobacco, Firearms and Explosives
BOP	Federal Bureau of Prisons
COPS	Office of Community Oriented Policing Services
CRM	Criminal Division
DEA	Drug Enforcement Administration
ENRD	Environment and Natural Resources Division
EOIR	Executive Office for Immigration Review
FBI	Federal Bureau of Investigation
JMD	Justice Management Division
NSD	National Security Division
OAG	Office of the Attorney General
ODAG	Office of the Deputy Attorney General
OJP	Office of Justice Programs
OPR	Office of Professional Responsibility
OVW	Office on Violence Against Women
TAX	Tax Division
USMS	U.S. Marshals Service
USNCB	United States National Central Bureau

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	1	Resolved	Provide ICJIA with an appropriate level of technical assistance to facilitate and enhance the process used by ICJIA to effectively and efficiently award available funding commensurate with the ongoing needs of victims in Illinois.
2	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	2	Resolved	Require ICJIA to review its efforts to provide VOCA funding for legal services and determine if improvements are appropriate.
3	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	3	Resolved	Coordinate with ICJIA to reexamine the appropriateness of the ICJIA subaward for expanding InfoNet.
4	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	4	Resolved	Ensure ICJIA adequately communicates to its subrecipients its policies or interpretations affecting the allowability of subrecipient costs.
5	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	5	Resolved	Require that ICJIA employs an adequate and reliable process for tracking grant spending by priority area.
6	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	6	Resolved	Determine what action should be taken for ICJIA's 2017 award for which it is not on track to comply with the priority funding area requirement for previously underserved victims.
7	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	7	Resolved	Ensure that ICJIA establishes policies and procedures for complete and appropriate SAR submissions.
8	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	8	Resolved	Coordinate with ICJIA on the appropriate and reasonable submission of SARs for its previously awarded VOCA subgrants.

Questioned costs represent gross amounts, and consequently costs that were questioned for more than one reason may appear in multiple recommendations. Please refer to the schedule of dollar-related findings in the relevant report to identify net questioned costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
9	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	9	Resolved	Require that ICJIA establish policies and procedures for complete and proper submission of FFATA reports.
10	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	10	Resolved	Coordinate with ICJIA on the appropriate and reasonable submission of FFATA reports for its previously awarded VOCA subrecipients.
11	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	11	Resolved	Ensure that ICJIA submits all required documentation related to discrimination findings against subrecipients of ICJIA's VOCA funds.
12	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	12	Resolved	Ensure ICJIA reiterates to its subrecipients the requirements from the Uniform Guidance related to bonuses, specifically regarding requirements for employee agreements or an established plan and equitably allocating the costs.
13	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	13	Resolved	Remedy the \$504,795 of questioned unsupported personnel administrative costs.
14	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	14	Resolved	Remedy the total \$64,211 of unallowable non-personnel administrative costs.
15	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	15	Resolved	Ensure that ICJIA's policies identify the types of agreements available and distinguish the required monitoring commensurate with those agreement types.
16	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	16	Resolved	Remedy the \$35,478 in overdrawn funds.
17	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	17	Resolved	Coordinate with ICJIA to identify the total amount of interest earned by VOCA funds drawn down in advance and remedy those funds as appropriate.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
18	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	18	Resolved	Remedy the total \$40,773 of unsupported match.
19	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	19	Resolved	Assist ICJIA in calculating the appropriate amount of program income earned based on federal government participation in the cost of the InfoNet system and ensure that these funds are remedied in an appropriate manner.
20	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	20	Resolved	Ensure ICJIA reassesses its subrecipient monitoring policies to guarantee that the policies result in an appropriate level of monitoring based on the subrecipient risks identified.
21	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	21	Resolved	Ensure ICJIA clarifies its requirements to the lead entities regarding the lead entities' assessment of subrecipient risk.
22	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	22	Resolved	Ensure ICJIA conducts fiscal audits in a timely manner and updates its subrecipient monitoring policies to include other types of adequate financial monitoring processes for instances in which completing on-site fiscal audits are not feasible.
23	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	23	Resolved	Require that ICJIA develop a process to safeguard against the drawdown of excess funds and considers the additional monitoring and reimbursement effort involved in a multi-tiered subaward structure like ICJIA uses with its pass-through lead entities.
24	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	24	Resolved	Ensure that ICJIA has a process requiring subrecipients to report their actual match activity (e.g., expenditure of funds, volunteer time expended).
25	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	25	Resolved	Ensure ICJIA revises its approach for reviewing match for allowability and proper support and for monitoring to verify required match amounts are met.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
26	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	26	Resolved	Ensure that ICJIA requires its lead entities to have controls for ensuring third-tier recipients meet match requirements.
27	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	27	Resolved	Require ICJIA to conduct its programmatic site visits in a timely manner in compliance with its monitoring policy.
28	<u>Audit of the Office of Justice Programs Victime Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	28	Resolved	Ensure that ICJIA has adequate monitoring policies to provide assurance that subrecipients are reporting accurate performance information and are receiving appropriate and consistent guidance from ICJIA.
29	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	09/29/2020	1	Resolved	Ensure the Oklahoma DAC develops and implements policies and procedures that ensure they adhere to documentation retention requirements.
30	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	09/29/2020	2	Resolved	Remedy \$13,337 in unsupported expenditures charged to the VOCA victim compensation program grants.
31	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	09/29/2020	3	Resolved	Remedy \$1,157 in unallowable expenditures charged to the VOCA victim compensation program grants.
32	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	09/29/2020	4	Resolved	Ensure the Oklahoma DAC adheres to its process requiring that all employee timesheets are reviewed and approved by the appropriate personnel prior to payment.
33	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the Kenton County Detention Center, Covington, Kentucky</u>	OJP	09/29/2020	2	Resolved	Ensure KCDC incorporates language in its contract with Northern Kentucky University (NKU) to guarantee OJP's royalty-free, non-exclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others use (in whole or in part, including in connection with derivative works), for federal purposes.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
34	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the Kenton County Detention Center, Covington, Kentucky</u>	OJP	09/29/2020	3	Resolved	Ensure KCDC and the Fiscal Court have implemented and disseminated policies and procedures documenting all grant-related responsibilities, including those performed by the Program Director, and designating a back-up position for these tasks.
35	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the Kenton County Detention Center, Covington, Kentucky</u>	OJP	09/29/2020	4	Resolved	Ensure that the Fiscal Court establishes procedures to ensure that it complies with the Single Audit Act requirements and to evaluate the impact of any findings on KCDC's federal award.
36	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the Kenton County Detention Center, Covington, Kentucky</u>	OJP	09/29/2020	5	Resolved	Ensure that KCDC implements procedures to ensure that it provides the Fiscal Court with necessary information to monitor budget expenditures by federal grant approved categories.
37	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the Kenton County Detention Center, Covington, Kentucky</u>	OJP	09/29/2020	6	Resolved	Ensure KCDC implements and adheres to written drawdown and cash management policies and procedures for grant funds that are compliant with all DOJ accounting requirements.
38	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the Kenton County Detention Center, Covington, Kentucky</u>	OJP	09/29/2020	7	Resolved	Ensure that KCDC enhances its policies and procedures for preparing and submitting FFRs.
39	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	1	Resolved	Establish and implement a policy to require officials to review all institution-specific design changes to perimeter security features to determine if the design change is necessary at other institutions.
40	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	2	Resolved	Establish a formalized policy for sharing important information pertaining to perimeter security issues and vulnerabilities to the necessary personnel at all potentially affected institutions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
41	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	3	Resolved	Establish adequate controls that ensure its personnel, including subject matter experts, perform a thorough analysis of its perimeter security when making changes to or implementing new features and designs.
42	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	4	Resolved	Implement a policy clearly designating the required operating mode of the lethal/non-lethal fence, authorized instances when the operating mode should be changed, and who is authorized to change the operating mode.
43	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	5	Resolved	Enhance its oversight of perimeter security activities by periodically reviewing lethal/non-lethal fence reports to ensure the fence is operating in accordance with the newly implemented policy referenced in recommendation number 4.
44	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	6	Resolved	Ensure that its Correctional Services Manual includes policy on the required number of perimeter patrol vehicles at all types of institutions and implement a policy on the circumstances necessitating changes to the required number of perimeter patrol vehicles.
45	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	7	Resolved	Establish a policy on the required assignment and use of Roving Alarm Notification System (RANS) hand-held radios.
46	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	8	Resolved	Consider developing a national policy requiring institutional assessments of U.S. Penitentiaries (USP) on a prescribed, regular basis.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
47	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	9	Resolved	Improve the institutional assessment process by ensuring the Institutional Assessment Guidelines are comprehensive and include all necessary perimeter security aspects, ensuring the assessment reports are consistent in form and content, and requiring reviewed facilities to respond to any recommendations in the assessment reports.
48	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	10	Resolved	Evaluate the current taut wire and lethal/non-lethal fences at USPs and ensure the respective Technical Design Guidelines reflect the BOP's current perimeter security strategy.
49	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	11	Resolved	Routinely review the Technical Design Guidelines to ensure they reflect the BOP's minimum operational security expectations and reflect updates necessitated by enhancements or modifications.
50	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	12	Resolved	Evaluate the potential for there to be other BOP facilities with similar perimeter security vulnerabilities that may need to be addressed.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
51	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	13	Resolved	Seek a voluntary refund of the estimated \$921,101 in profit in excess of the amount in the award for labor, lodging, and per diem costs, as well as any excessive profit on equipment costs.
52	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	14	Resolved	Establish procedures to ensure Contracting Officers conduct appropriately comprehensive price proposal analyses, including properly documenting its evaluation of cost line items and expected time to complete a project, as well as what contract type is best suited for the circumstances at-hand.
53	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	15	Resolved	Reiterate to Contracting Officers the Federal Acquisition Regulation (FAR) requirement to safeguard the financial interests of the United States in its contractual relationships and ensure its Contracting Officers are aware of the newly established policy related to price proposal analyses referenced in recommendation number 14.
54	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	16	Resolved	Develop procedures on the expectations for completing adequate Independent Government Cost Estimates (IGCE), including that contract files contain sufficient documentation supporting IGCEs, and ensure those preparing IGCEs are aware of the expectations.
55	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	17	Resolved	Reiterate to its Contracting Officers the importance of modifying contracts at the point in time when a change in the scope of work occurs to reduce the risk that a contractor will be overpaid and taxpayer funds will be wasted.
56	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	18	Resolved	Reiterate to its Contracting Officer's Representatives (COR) and other oversight officials the FAR guidance that only Contracting Officers have the authority to modify the contract's terms and conditions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

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57	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	19	Resolved	Ensure that BOP personnel fully understand that they are not to perform any work that has been awarded to a contractor to complete.
58	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	20	Resolved	Determine whether DeTekion should repay the \$2,594 in work that was completed by the COR.
59	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	1	Resolved	Improve Take Back Day outreach guidance and information provided to its Division Offices to encourage and increase law enforcement agency participation.
60	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	2	Resolved	Perform regular analysis of Take Back Day activities in conjunction with available and applicable data from within the DEA or external sources, to identify strategies for expanding Take Back Day participation, minimizing coverage gaps, and better informing the public of all prescription drug disposal options.
61	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	3	Resolved	Ensure that the DEA-12s for the Take Back Day events are completed; include all required data elements, such as the date, weight of collections, number of boxes the DEA received, and recipient and witness signatures; and maintained in accordance with Take Back Day policy and DEA procedures.
62	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	4	Resolved	Strengthen existing record retention policy for Take Back Day events to specifically state what forms of documentation should be retained and what information must be included on the documentation to support the destruction of Take Back Day collections.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
63	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	5	Resolved	Implement controls to monitor its field offices' compliance with established Take Back Day deadlines for the destruction of collections.
64	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	6	Resolved	Ensure that reported collection results clearly state that materials other than prescription drugs may be included in the totals.
65	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	7	Resolved	Assess Take Back Day performance data in conjunction with other available data to provide multi-dimensional performance results to its law enforcement partners and the public, and for its own purposes in administering the program.
66	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	8	Resolved	Establish reasonable procedures to verify the accuracy of reported Take Back Day collection totals and the subsequent destruction of the collections.
67	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	9	Resolved	Enhance Take Back Day procedures to include all processes, and consider the utility of documenting Take Back Day collection activity procedures into its procedures manuals, as well as continued dissemination of the Take Back Day procedures through the waiver and electronic communications.
68	<u>Audit of the Drug Enforcement Administration's Prescription Drug Take Back Activities</u>	DEA	09/28/2020	10	Resolved	Enhance the CSP and emphasize the requirement that all participating law enforcement agencies adhere to the CSP in order to preserve the privacy of the public who are disposing of medications during Take Back Day events.
69	<u>Audit of the Department of Justice's Compliance with the Geospatial Data Act of 2018</u>	ALLDOJ	09/28/2020	1	Resolved	Coordinate with components to identify all geospatial data sets for the Department, and develop and implement a plan to collect, maintain, disseminate, and preserve geospatial data such that the resulting data, information, or products can be readily shared with other federal agencies and non-federal users.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

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70	<u>Audit of the Department of Justice's Compliance with the Geospatial Data Act of 2018</u>	ALLDOJ	09/28/2020	2	Resolved	Utilize the Department's Geospatial Community of Interest and Data Governance Board's Data Architecture Working Group to coordinate and work in partnership with other federal and non-federal agencies to efficiently and cost-effectively collect, integrate, maintain, disseminate, and preserve geospatial data.
71	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
72	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
73	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
74	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
75	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
76	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
77	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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78	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
79	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
80	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
81	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security</u>	FBI	09/25/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
82	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	1	Resolved	Review its SME contract in its entirety to ensure that: (1) the non-personal services contract, as it is being administered, is in compliance with Federal Acquisition Regulation (FAR) requirements and FBI policy; (2) proper contract oversight controls and responsibilities have been established, including proper oversight of SMEs and the recruiting, interviewing, and hiring of SMEs; and (3) SMEs are not performing inherently governmental functions, which are prohibited by the FAR.
83	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	2	Resolved	Provide periodic training to Contracting Officers, Contracting Officer's Representatives (COR), Task Leads, and any other FBI personnel overseeing the activities of SMEs, to ensure that appropriate, FAR-compliant relationships are being maintained with contractor personnel.

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84	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	3	Resolved	Ensure that SMEs are reporting all outside employment activities, official and unofficial foreign travel, and contact with foreign nationals promptly to the FBI as required by FBI policy and the contract.
85	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	4	Resolved	Ensure the timely and proper notification to Small Business Administration (SBA) of proposed 8(a) program small disadvantaged business acquisitions, prior to competing and awarding those acquisitions as required by the FAR.
86	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	5	Resolved	Ensure that the source selection decision is properly documented, and the documentation includes the rationale for any business judgments and tradeoffs made or relied on by the Source Selection Authority (SSA) as required by the FAR.
87	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	6	Resolved	Ensure that the FBI Office of General Counsel's contract review include a review of all task order Statement of Works (SOW) over a designated threshold amount associated with the master acquisition package.
88	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	7	Resolved	Develop and implement procedures for uploading and maintaining appropriate contract-related documents that support the acquisition process in Unified Financial Management System (UFMS).
89	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	8	Resolved	Ensure COR duties are delegated, in writing, to appropriately trained government personnel as required by the FAR and FBI policy.
90	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	9	Resolved	Ensure that contractor performance evaluations are completed and entered into CPARS in a timely manner, as required by the FAR and FBI policy.
91	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	10	Resolved	Ensure that TUVA submits detailed monthly task order invoices, including each SME's hours worked on a daily basis, and documentation to support the labor hours billed to the FBI.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
92	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	11	Resolved	Implement procedures requiring contractors to disclose the type of airfare travel booked and provide written justification when travel costs exceed the lowest prices available as required by the Federal Travel Regulation.
93	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	12	Resolved	Ensure that TUVA remedies \$9,376 in unallowable business-class airfare costs.
94	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	1	Resolved	Implement policies and procedures to ensure that contracting duties are: (1) retained by ENRD contracting officers; or (2) appropriately delegated to other qualified ENRD officials that have received a written delegation letter and training commensurate of the duties being delegated.
95	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	6	Resolved	Enhance its policies and procedures to ensure that all expert witness contractors maintain a written and running bibliography of all information sources used during the life of the contract to ensure the proper preservation of working materials.
96	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	7	Resolved	Implement policies and procedures to ensure that any contract changes identified under FAR Subpart 43.301 are authorized using a formal contract modification that is approved by a qualified ENRD contracting official.
97	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	8	Resolved	Enhance its internal controls to mitigate the risk that the FEW Appropriation could be misused. These controls should be reflective of the requirements outlined in JMD's 2018 Expert Witness Instruction and any additional guidance that has been issued by JMD. Finally, ENRD should ensure that any policies and procedures created to address this recommendation are appropriately disseminated to ENRD contracting and litigation staff, and that appropriate training on the subject is provided to ENRD staff.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
98	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	JMD	09/18/2020	9	Resolved	Ensure that the Expert Witness Instruction is compliant with FAR Subpart 42.15 related to contractor performance evaluations.
99	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	2a	Resolved	Implement policies and procedures to ensure that the acquisition and procurement of expert witness contracts is compliant with the FAR, including ensuring that ENRD contracting officials create and implement a written acquisition plan for expert witness contractors.
100	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	2b	Resolved	Implement policies and procedures to ensure that the acquisition and procurement of expert witness contracts is compliant with the FAR, including ensuring that ENRD contracting officials maintain a determination and findings for time and materials contracts.
101	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	2c	Resolved	Implement policies and procedures to ensure that the acquisition and procurement of expert witness contracts is compliant with the FAR, including ensuring that ENRD contracting officials conduct and document market research techniques used in the acquisition of expert witness contracts.
102	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	2d	Resolved	Implement policies and procedures to ensure that the acquisition and procurement of expert witness contracts is compliant with the FAR, including ensuring that ENRD contracting officials maintain evidence that price or cost to the government as well as non-cost factors were evaluated for each expert witness contract.
103	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	2e	Resolved	Implement policies and procedures to ensure that the acquisition and procurement of expert witness contracts is compliant with the FAR, including ensuring that ENRD contracting officials properly justify the use of other than full and open competition for expert witness contract acquisitions.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
104	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	2f	Resolved	Implement policies and procedures to ensure that the acquisition and procurement of expert witness contracts is compliant with the FAR, including ensuring that ENRD contracting officials provide evidence that all contracts exceeding \$250,000 have been modified to include the whistleblower provision required in FAR Subpart 52.203-17 and that contractors have informed its workers of their whistleblower rights.
105	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	3a	Resolved	Implement policies and procedures to ensure that billings and payments for expert witness contracts are compliant with the FAR, including ensuring that invoices submitted by expert witness contractors contain sufficient detail as to the time expended and the nature of the actual services provided.
106	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	3b	Resolved	Implement policies and procedures to ensure that billings and payments for expert witness contracts are compliant with the FAR, including ensuring that expert witness contractors and subcontractors only bill for personnel and pay rates that have been approved by ENRD prior to the contract employee performing services.
107	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	3c	Resolved	Implement policies and procedures to ensure that billings and payments for expert witness contracts are compliant with the FAR, including ensuring that all invoices contain approval by a qualified ENRD contracting official.
108	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	3d	Resolved	Implement policies and procedures to ensure that billings and payments for expert witness contracts are compliant with the FAR, including ensuring that invoices and authorizing documentation contain the elements required by the FAR and are paid in accordance with the SOW for expert witness contractors.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
109	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	3e	Resolved	Implement policies and procedures to ensure that billings and payments for expert witness contracts are compliant with the FAR, including ensuring that invoices submitted by expert witness contractors are paid and authorized in accordance with the Prompt Payment Act.
110	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	4a	Resolved	Remedy \$67,425 in unallowable personnel costs resulting from unauthorized contract personnel costs.
111	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	4b	Resolved	Remedy \$4,390 in unallowable personnel costs related to the payment of contractor pay rates that were not authorized in the approved SOW.
112	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5a	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials develop and implement a quality assurance surveillance plan for its expert witness contracts, as required by
113	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5b	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials maintain the proper documentation in each expert witness contract file, including resumes, travel authorizations, and confidentiality agreements that are signed prior to when the contractor begins work under the contract.
114	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5c	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials document an analysis of pricing and support for increases in contract ceilings.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
115	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5d	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials ensure subcontract agreements comply with ENRD's Standard Expert Witness Terms and Conditions, as well as additional guidance identified in the prime expert witness contract.
116	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	1	Resolved	Work with the FDLA to ensure that it maximizes its efforts to identify victim needs so that the FDLA fully meets those needs with Victims of Crime Act (VOCA) funds, as the program intended.
117	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	2	Resolved	Remedy the \$150,919 in unsupported subrecipient consultant costs.
118	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	3	Resolved	Ensure that the FDLA requires subrecipients to obtain and maintain documentation for all reimbursable costs and to end the practice of entering into agreements that allow a subrecipient to receive reimbursement for unsupported costs.
119	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	4	Resolved	Remedy the \$74,340 in unallowable subrecipient rental costs.
120	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	5	Resolved	Ensure the FDLA develops and implements formal written procedures for completing drawdowns.
121	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	6	Resolved	Remedy the \$6,500 in unallowable rental costs related to match.
122	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	7	Resolved	Ensure that the FDLA implements policies to include only allowable expenses to comply with VOCA match requirements.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
123	<u>Audit of the Department of Justics's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	BOP	09/14/2020	1	Resolved	Further enhance its reporting and tracking of drone incidents by clarifying for its facilities what constitutes a drone incident and what information its personnel should record.
124	<u>Audit of the Department of Justics's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	BOP	09/14/2020	2	Resolved	Collect, track, and assess data on drone incidents at its contracted facilities, in order to better determine the extent of drone threats to contracted facilities and identify any trends relevant to management of its own federal facilities.
125	<u>Audit of the Department of Justics's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	BOP	09/14/2020	3	Resolved	Identify best practices and provide training for relevant staff on how to safely approach and secure recovered drones.
126	<u>Audit of the Department of Justics's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	ODAG	09/14/2020	4	Resolved	Continue to explore, with the input of the BOP, solutions regarding how contract facilities can better address the security vulnerabilities posed by drones.
127	<u>Audit of the Department of Justics's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	ODAG	09/14/2020	6	Resolved	Continue to work with the BOP on identifying opportunities to maximize the efficiency of BOP requests to deploy protective measures at BOP facilities, while still meeting all purposes of the AG Guidance and the requirements of the statute on which it is based.
128	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	1	Resolved	Ensure that the WA DOC reevaluates its subaward funding practices and develops procedures to ensure that all grant recipients can accurately and separately account for awarded funds within their accounting systems and financial records.
129	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	2	Resolved	Ensure that the WA DOC develop a uniform policy for competitively-bid grant applications, outlining baseline bid review procedures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
130	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	3	Resolved	Ensure that the WA DOC establishes procedures in drafting victim assistance program subaward templates by coordinating with pass-through agencies to ensure consistency, accuracy, and compliance with federal regulations and VOCA guidelines.
131	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	4	Resolved	Ensure that the WA DOC develop a comprehensive grants administration manual for OCVA to facilitate consistent administration of VOCA funds and compliance with the DOJ Grants Financial Guide.
132	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	5	Resolved	Ensure that the WA DOC develop procedures to accurately capture its funding allocation to the four required VOCA priority categories to ensure compliance with VOCA requirements.
133	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	6	Resolved	Coordinate with the WA DOC on the appropriate and reasonable submission of SARs for its previously awarded VOCA subawards; and implement procedures to ensure that SARs are submitted accurately and in a timely manner to OVC.
134	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	7	Resolved	Remedy \$70,207 in inadequately supported expenditures.
135	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	8	Resolved	Remedy \$3,312 of unsupported match contributions.
136	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	9	Resolved	Ensure that the WA DOC and its pass-through agencies revise their financial monitoring policies and procedures to include the review of fiscal related matters to mitigate the risk of commingling and unsupported subrecipient Requests for Reimbursement.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
137	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	10	Resolved	Ensure the DSHS update its annual renewal application process of emergency domestic violence shelters to require a copy of the most recent annual safety inspection report from the local fire department.
138	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	11	Resolved	Ensure OCVA revise its CVSC service standards to include onsite monitoring plans.
139	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	1	Resolved	Remedy \$652,069 in unallowable capital improvement questioned costs for Grant Number 2017-VA-GX-0016.
140	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	2	Resolved	Ensure that ADECA coordinates with the subrecipient to determine if any of the project costs were either for required minor building adaptation costs allowable under 28 C.F.R. § 94.121(d), or for maintenance and repairs allowable under 28 C.F.R. § 94.121(i).
141	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	3	Resolved	Remedy \$4,834 in unsupported personnel (\$2,950) and travel expenditures (\$1,884) questioned costs for Grant Number 2017-VA-GX-0016.
142	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	4	Resolved	Remedy \$5,190 in unallowable travel (\$3,612) and personnel expenditures (\$1,578) questioned costs for Grant Number 2016-VA-GX-0028.
143	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	5	Resolved	Remedy \$8,842 in unsupported personnel (\$5,897), travel (\$1,716), and operating expenditures (\$1,229) questioned costs for Grant Number 2016-VA-GX-0028.
144	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	6	Resolved	Ensure that all ADECA employees involved in the management of grant funds receive refresher training on all relevant cash management procedures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
145	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	7	Resolved	Ensure that ADECA modifies its policy to specify that in extraordinary circumstances, such as federal shutdown, it will seek to consult with OJP prior to making an excessive draw, fully document the basis for any such draw, and promptly return any excess funds at the conclusion of the extraordinary circumstances.
146	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	9	Resolved	Ensure that ADECA officials responsible for preparation of Federal Financial Reports (FFR), review with appropriate OJP officials the circumstances surrounding the amended March 31, 2019 FFRs and identify the appropriate process that should have been followed to address the concerns raised by OJP <u>regarding those reports.</u>
147	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	10	Resolved	Ensure that ADECA develops and implements policies and procedures to review, prior to subaward approval, the details of maintenance and repair projects to assess the costs and determine if each project is properly categorized.
148	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Alabama Department of Economic and Community Affairs, Montgomery, Alabama</u>	OJP	09/11/2020	11	Resolved	Ensure that ADECA strengthens its financial monitoring policies by eliminating the prior notice of transactions to be tested during onsite monitoring visits.
149	<u>Audit of the Drug Enforcement Administration's Community-Based Efforts to Combat the Opioid Crisis</u>	DEA	09/02/2020	1	Resolved	Enhance its pilot city selection process by supplementing its use of the CDC data with broader information, including from available DEA data sets.
150	<u>Audit of the Drug Enforcement Administration's Community-Based Efforts to Combat the Opioid Crisis</u>	DEA	09/02/2020	2	Resolved	Enhance its outcome-oriented performance measurement strategy to clearly define programs goals prior to project implementation and include a focus on program sustainability.
151	<u>Audit of the Drug Enforcement Administration's Community-Based Efforts to Combat the Opioid Crisis</u>	DEA	09/02/2020	3	Resolved	Review its current public awareness efforts in order to identify areas for potential consolidation and improvement.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
152	<u>Audit of the Drug Enforcement Administration's Community-Based Efforts to Combat the Opioid Crisis</u>	DEA	09/02/2020	4	Resolved	Coordinate with DOJ's awarding agencies, including OJP and COPS Office, to identify potential areas for improved program collaboration that would enhance the DEA's community outreach efforts.
153	<u>Audit of the Drug Enforcement Administration's Community-Based Efforts to Combat the Opioid Crisis</u>	DEA	09/02/2020	5	Resolved	Enhance its current community-based efforts to further increase awareness of treatment options in the local, pilot city area and correct any misconceptions within the local DEA leadership related to the DEA's position on medication assisted
154	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	2	Resolved	Coordinate with ICJI to determine whether further enhancement to ICJI's outreach education program is necessary to ensure more people throughout the state of Indiana learn about the victim compensation program and the benefits available, as well as to ensure that law enforcement agencies throughout the state are aware of the program and its requirements.
155	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	3	Resolved	Work with ICJI to ensure the State Certification Forms for FY 2015, FY 2016, and FY 2018 are corrected, to determine whether the FY 2019 State Certification Form is accurate, and to take the necessary steps to remedy any funds awarded in error related to the deficiencies in the FY 2015 and FY 2016 State Certification Forms.
156	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	4	Resolved	Ensure ICJI develops and implements procedures for accurately completing its Crime Victim Compensation State Certification Forms.
157	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	5	Resolved	Ensure that ICJI takes appropriate action to enhance performance reporting activities and produce accurate performance reports, and if appropriate, require ICJI to submit corrected performance reports for the FY 2015 through FY 2017 grants.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
158	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	6	Resolved	Ensure ICJI implements formal procedures and trains staff to help ensure that financial transactions are posted correctly and completely.
159	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	7	Resolved	Remedy \$20,000 in unsupported questioned costs.
160	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	8	Resolved	Remedy \$15,000 in unallowable questioned costs related to one approved claim submitted after the 2-year state-imposed deadline for claims applications.
161	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	9	Resolved	Work with ICJI to implement appropriate policies and practices to encourage timely adjudication and payment of claims and to document reasons for any delays.
162	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	10	Resolved	Remedy \$8,398 in excess administrative expenditures representing expenses beyond the 5-percent limit charged to the FY 2016 grant.
163	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	11	Resolved	Remedy the unsupported payroll expenditures totaling \$9,324 and work with ICJI to identify and remedy any additional excess hours charged to the grants under audit.
164	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	12	Resolved	Ensure ICJI develops and implements procedures for reviewing payroll records to improve the accuracy of amounts allocated to the VOCA grants.
165	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	13	Resolved	Work with ICJI to determine whether current drawdowns on the FY 2017 grant are in excess of ICJI's expenditures eligible for federal reimbursement, and, if so, to remedy the excess cash on hand and take the necessary steps to determine whether any interest is owed due to excess cash on hand.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
166	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	14	Resolved	Work with ICJI to assess the accuracy of the financial reports related to the 2015, 2016, and 2017 grants, and, if appropriate, require ICJI to submit corrected financial reports for those that were previously misstated.
167	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	15	Resolved	Ensure ICJI implements appropriate policies and practices for timely submission of federal financial reports.
168	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	1	Resolved	Ensure the DCJS has a written policy over its subaward selection process.
169	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	2	Resolved	Ensure that the DCJS develops subaward agreement requirements with VDSS to include the Federal award information, special conditions, and applicable compliance requirements.
170	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	3	Resolved	Require that the DCJS develop and implement a written policy and tracking procedure to ensure VOCA funds are disbursed in accordance with the priority funding requirement.
171	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	4	Resolved	Ensure the DCJS establishes a process to submit complete and accurate annual performance reports.
172	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	5	Resolved	Ensure the DCJS submits SARs for all of its previously awarded VOCA subawards, reconciling against award records maintained by the DCJS outside of PMT.
173	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	6	Resolved	Require that the DCJS train its subrecipients on fraud awareness and reporting, to include the OIG hotline's availability.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
174	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	7	Resolved	Ensure the DCJS continues its effort to segregate grant financial activities.
175	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	8	Resolved	Ensure the DCJS enhances its written policies to provide a formal continuity in its grant management, such as through a succession plan and cross training between its programmatic and financial personnel.
176	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	9	Resolved	Remedy a total of \$5,375 in unsupported costs.
177	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	10	Resolved	Remedy a total of \$18,240 in unallowable costs.
178	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	11	Resolved	Ensure the DCJS: a. informs pass-through recipients of the administrative cost restrictions, b. clearly tracks administration costs and payments for both the DCJS and VDSS, and c. monitors spending statewide against the administrative cost limit.
179	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	12	Resolved	Remedy a total of \$5,527 in unsupported payroll charges.
180	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	13	Resolved	Remedy a total of \$2,811 in unsupported costs.
181	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	14	Resolved	Assess how the DCJS fully implemented the updated drawdown policy.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
182	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	15	Resolved	Ensure the DCJS assesses its drawdowns of the FY 2017 award to determine if funds need to be returned.
183	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	16	Resolved	Work with the DCJS to develop and implement procedures to: a. track compliance with the VOCA match requirement, b. document subrecipient-reported matching, and c. reconcile state and subrecipient match contributions respectively reported in its grants management and accounting systems.
184	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	17	Resolved	Remedy \$14,308 in unsupported subrecipient matching costs.
185	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	18	Resolved	Work with the DCJS to strengthen its implementation of written policies and procedures that guide how DCJS personnel should compile accurate FFRs.
186	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	19	Resolved	Require that the DCJS implements a comprehensive monitoring plan that: a. ensures that all subrecipients receive site visits as required by the monitoring policy, b. documents and maintains the results of monitoring activities, and c. trains grant monitors on various aspects of the monitoring plan, to include: completing risk assessments, types of monitoring, conducting subrecipient site visits, and the importance of documenting and maintaining complete records of monitoring efforts.
187	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	20	Resolved	Require that the DCJS strengthens its financial monitoring policies and staff training specifically with regard to reimbursing subrecipients.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
188	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	21	Resolved	Ensure the DCJS informs and provides training to its subrecipients on how to compile and prorate performance reporting data that accurately reflects activity supported with VOCA funds.
189	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	22	Resolved	Ensure the DCJS has adequate monitoring policies and procedures to provide assurance that subrecipients are reporting accurate performance information.
190	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	1	Resolved	Ensure ECSO develops and implements policies and procedures to make certain it maintains supporting documentation for staffing levels (local and grant-funded) identified in its grant applications and over the grant period. Specifically, ECSO should track the names, positions, and total number of locally funded officers and separately track grant-funded officers from the grant award date through grant closure.
191	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	2	Resolved	Ensure ECSO implements policies and procedures to ensure that it meets the hiring date requirements for the officers it funds with COPS grants.
192	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	3	Resolved	Ensure ECSO submits progress reports that accurately reflect grant activities during the reporting period.
193	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	4	Resolved	Require ECSO to establish a financial system that corrects significant internal control deficiencies and produces consistent, accurate, reliable, and verifiable reporting.
194	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	5	Resolved	Ensure ECSO develops and implements written policies and procedures for grant administration, including accounting and recordkeeping, to ensure compliance with applicable laws, regulations, and award terms and conditions.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
195	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	6	Resolved	Remedy the \$4,503,266 in unsupported questioned costs.
196	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	7	Resolved	Remedy the \$1,121,734 in funds to be put to better use.
197	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	8	Resolved	Remedy \$1,250,000 in unsupported questioned local match costs.
198	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	9	Resolved	Work with ECSO to ensure that the match is met for grant 2017-UL-WX-0014.
199	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	10	Resolved	Require ECSO to develop and implement policies and procedures to ensure accurate accounting of grant expenditures, including payroll and fringe benefit expenditures, as well as maintaining records that clearly demonstrate an appropriate source of the local match, the amount of the local match, and when the local match was contributed.
200	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	11	Resolved	Ensure ECSO develops and implements written policies and procedures for budget management and reconciliation of supporting documentation with Essex County's financial management system.
201	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	12	Resolved	Ensure ECSO develops and implements written policies and procedures for drawing down award funds based on actual payroll data from the Essex County financial management system.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
202	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	13	Resolved	Ensure ECSO develops and implements written policies and procedures to ensure project costs, both federal share expenditures and local match expenditures, are adequately supported and reconciled with Essex County's financial management system.
203	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	14	Resolved	Ensure ECSO develops and implements written policies and procedures to timely submit financial reports on a quarterly basis.
204	<u>Audit of the Saint Charles County Police Department's Equitable Sharing Program Activities; O'Fallon, Missouri</u>	CRM	08/17/2020	1	Resolved	Require the SC County PD to develop written policies and procedures for Equitable Sharing Program activities that establish standard accounting procedures and internal controls that address tracking equitably shared funds and tangible property and recording equitable sharing receipts and disbursements; recording other income and interest earned on DOJ equitable sharing funds; accurately completing ESAC reports; ensuring that Equitable Sharing Program funds are used only for permissible expenses, such as to pay the salary of officers hired to replace other officers assigned to task forces; and exercising payroll procedures that provide adequate assurance that public funds are protected from fraud, waste, and abuse.
205	<u>Audit of the Saint Charles County Police Department's Equitable Sharing Program Activities; O'Fallon, Missouri</u>	CRM	08/17/2020	2	Resolved	Ensure the SC County PD is separately accounting for DOJ equitable sharing funds to include recording interest earned on DOJ equitable sharing funds, as well as other equitable sharing-related income.
206	<u>Audit of the Saint Charles County Police Department's Equitable Sharing Program Activities; O'Fallon, Missouri</u>	CRM	08/17/2020	3	Resolved	Require the SC County PD to correct its accounting records and, if necessary, submit corrected ESAC forms for FYs 2016, 2017, and 2018 that accurately reflect the SC County PD's equitable sharing activity.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
207	<u>Audit of the Saint Charles County Police Department's Equitable Sharing Program Activities; O'Fallon, Missouri</u>	CRM	08/17/2020	4	Resolved	Reiterate to the SC County PD the importance of following its own internal record retention policies, adhering to the equitable sharing guidelines, and retaining all necessary Equitable Sharing Program activity documentation.
208	<u>Audit of the Saint Charles County Police Department's Equitable Sharing Program Activities; O'Fallon, Missouri</u>	CRM	08/17/2020	5	Resolved	Remedy \$161,907 in unallowable salary and benefits costs.
209	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont</u>	OJP	08/12/2020	1	Resolved	Ensure VCCVS establish appropriate criteria to encourage and document cooperation with law enforcement for victims of sexual assault.
210	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont</u>	OJP	08/12/2020	2	Resolved	Ensure VCCVS design and implement policies and procedures to obtain and track data necessary to ensure that only costs paid on behalf of victims of sexual assault that align with Vermont's established criteria for cooperation with law enforcement are charged to its federal victim compensation grants and included on its state certification forms.
211	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont</u>	OJP	08/12/2020	3	Resolved	Work with VCCVS to strengthen its policies and procedures for awarding lost wages and loss of support.
212	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont</u>	OJP	08/12/2020	4	Resolved	Ensure VCCVS implement its revised accounting policies and procedures to ensure expenditures are tracked separately by grant award number.
213	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont</u>	OJP	08/12/2020	5	Resolved	Ensure VCCVS revise its Financial Policies and Procedures Manual to ensure Federal Financial Reports (FFR) are accurate and supported by accounting system records.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
214	<u>Audit of the Office of Justice Programs Regional Information Sharing Systems Grants Awarded to the Middle Atlantic – Great Lakes Organized Crime Law Enforcement Network, Newtown, Pennsylvania</u>	OJP	08/11/2020	1	Resolved	Remedy the \$4,196 in unallowable expenses related to confidential funds paid for ineligible services.
215	<u>Audit of the Office of Justice Programs Regional Information Sharing Systems Grants Awarded to the Middle Atlantic – Great Lakes Organized Crime Law Enforcement Network, Newtown, Pennsylvania</u>	OJP	08/11/2020	5	Resolved	Remedy the \$2,000 unallowable other direct costs for dues paid to the RISS Directors Association.
216	<u>Audit of the Office of Justice Programs Regional Information Sharing Systems Grants Awarded to the Middle Atlantic – Great Lakes Organized Crime Law Enforcement Network, Newtown, Pennsylvania</u>	OJP	08/11/2020	6	Resolved	Remedy the \$2,000 in unsupported questioned costs for dues paid to the RISS Directors Association.
217	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Massachusetts Department of Attorney General, Boston, Massachusetts</u>	OJP	08/03/2020	1	Resolved	Work with VCAD to reevaluate their established process for claim processing to ensure timely reimbursement to victims of crime.
218	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Massachusetts Department of Attorney General, Boston, Massachusetts</u>	OJP	08/03/2020	2	Resolved	Ensure VCAD implements and adheres to comprehensive written policies and procedures to ensure its annual state certification forms are accurate, and supporting documentation is maintained to facilitate review and audit of funds awarded.
219	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Massachusetts Department of Attorney General, Boston, Massachusetts</u>	OJP	08/03/2020	3	Resolved	Ensure VCAD reviews and accurately reports all performance data so that OVC can properly monitor how VOCA funds are handled and spent.
220	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Massachusetts Department of Attorney General, Boston, Massachusetts</u>	OJP	08/03/2020	4	Resolved	Remedy the \$27,929 in unsupported expenditures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
221	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Massachusetts Department of Attorney General, Boston, Massachusetts</u>	OJP	08/03/2020	5	Resolved	Ensure VCAD implements and adheres to written policies and procedures for processing administrative expenses that would prevent such unallowable expenses charged to the grant.
222	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Massachusetts Department of Attorney General, Boston, Massachusetts</u>	OJP	08/03/2020	6	Resolved	Inform all SAAs nationwide of the applicable VOCA Compensation Program requirements regarding crowdfunding and develop appropriate resources to assist SAAs in addressing how crowdfunding affects victim compensation.
223	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	1	Resolved	Modify the GEO and other detention contracts to specify when invoice deductions should be taken for not achieving the staffing-related contract requirements.
224	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	2	Resolved	Develop and implement a process by which the COR immediately notifies the CO of any performance failure and, when the CO determines a reduction in contract price is warranted, the COR prepares a reduction proposal based on the CO's determination in accordance with the Detention Services Contract Reduction Manual.
225	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	3	Resolved	Confirm that all of the outstanding proposed reduction amounts have been corrected in accordance with the guidance in the Detention Services Contract Reduction Manual.
226	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	4	Resolved	Clarify the instructions in the Detention Services Contract Reduction Manual and provide training to both the CORs and their supervisors on the procedures for calculating the reduction amounts in accordance with the guidance in the Detention Services Contract Reduction Manual.
227	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	5	Resolved	Evaluate how it can best ensure that excess commissary funds are used to benefit detainees and that the funds do not accumulate unreasonably.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
228	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	6	Resolved	Include in all of its detention contracts a legally-appropriate mechanism by which, upon termination of each contract, excess commissary funds will be used in a manner that is solely for the benefit of detainees.
229	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	7	Resolved	Ensure that GEO has properly trained all of its employees involved in the transportation of detainees, completes all training required by 42 U.S.C. § 13726b and 28 CFR Part 97, and maintains complete training records including the curriculum and student attendance records.
230	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	8	Resolved	Put in place adequate controls to ensure that employees involved in detainee transportation at USMS contract detention facilities have completed the training required by federal law.
231	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	9	Resolved	Evaluate bunk bed safety concerns at the 11 detention facilities that do not have ladders.
232	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	10	Resolved	Create a standard or other requirement regarding the appropriate use of ladders in contract facilities that contain bunk beds.
233	<u>Management Advisory Memorandum Concerning the Department of Justice's Administration and Oversight of Contracts</u>	ALLDOJ	07/13/2020	1	Resolved	Implement a Department-wide policy to ensure that all Task Monitors are required to complete at least FAC-COR Level I training prior to being designated a Task Monitor in accordance with OMB guidance.
234	<u>Management Advisory Memorandum Concerning the Department of Justice's Administration and Oversight of Contracts</u>	ALLDOJ	07/13/2020	2	Resolved	Develop policy or implement procedures to ensure that contractor performance evaluations are completed, accurate, and entered into CPARS in a timely manner.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
235	<u>Management Advisory Memorandum Concerning the Department of Justice's Administration and Oversight of Contracts</u>	ALLDOJ	07/13/2020	3	Resolved	Ensure all components update their contractor-related monitoring policies and provide regular training to government contracting employees on how to maintain appropriate, FAR-compliant relationships with contractor personnel.
236	<u>Management Advisory Memorandum Concerning the Department of Justice's Administration and Oversight of Contracts</u>	ALLDOJ	07/13/2020	4	Resolved	Consider including contract management in its enterprise-level risk management prioritization.
237	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	1	Resolved	Provide NJ DLPS with an appropriate level of technical assistance to facilitate and enhance the process used by NJ DLPS to effectively and efficiently award available funding commensurate with the ongoing needs of NJ victims.
238	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	2	Resolved	Ensure that NJ DLPS develops and implements policies and procedures that facilitate the timely awarding of VOCA funds.
239	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	3	Resolved	Ensure NJ DLPS develops and implements policies and procedures to monitor compliance with the priority area funding requirement.
240	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	4	Resolved	Ensure NJ DLPS develops and implements policies and procedures that ensure annual performance reports are complete and accurate, supported by verifiable data, and periodically validated as part of its monitoring process.
241	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	5	Resolved	Ensure NJ DLPS develops an effective and efficient method for testing subrecipient detailed cost summaries to provide reasonable assurance the funds are in compliance with laws, regulations, and provisions in the award.
242	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	6	Resolved	Remedy \$75,334 in unsupported subrecipient costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
243	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	7	Resolved	Ensure that NJ DLPS enhances its policies and procedures to ensure that subrecipient site-visits are conducted in accordance with VOCA Guidelines and its own procedures.
244	<u>Management Advisory Memorandum of Concerns Identified During Mock Exercises by Federal Bureau of Prisons Special Operation Response Teams</u>	BOP	06/17/2020	2	Resolved	develop comprehensive guidelines governing mock exercises. The OIG recommends that these comprehensive guidelines include, among other things, the following: a. All mock exercises should be required to have at least one monitor whose sole role is to be the designated Safety Officer. The Safety Officer should be present onsite during the exercise, responsible for preventing team members from going outside of training boundaries, and responsible for stopping scenarios when they go off script or otherwise compromise the safety of employees involved in the exercise and other employees in the institution. b. All mock exercises should be filmed for live video and recorded for training purposes and evaluation.
245	<u>Management Advisory Memorandum of Concerns Identified During Mock Exercises by Federal Bureau of Prisons Special Operation Response Teams</u>	BOP	06/17/2020	3	Resolved	Develop written policies concerning the types, if any, of weapons, less than lethal weapons, and munitions that may be used during training exercises and when and how such weapons, less than lethal weapons, and munitions may be used during training.
246	<u>Management Advisory Memorandum of Concerns Identified During Mock Exercises by Federal Bureau of Prisons Special Operation Response Teams</u>	BOP	06/17/2020	4	Resolved	All SORT members and designated training monitors should receive remedial training on SORT policy and use of force applications during mock training and certification exercises.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
247	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	1	Resolved	Formalize a procedure to develop, verify, and disseminate all required AGE0 financial and performance reports to Congress and the Attorney General, as outlined in the authorizing statute, to bring it in compliance with the law. In addition, these reports should provide appropriate context for the statistics associated with AGE0s so that direct and indirect accomplishments are clearly identified and can be assessed separately.
248	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	2	Resolved	Implement a process through which field offices establish specific, actionable, and measurable goals for each AGE0 that are clearly presented to the Sensitive Activities Review Committee (SARC).
249	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	3	Resolved	Implement a process through which the SARC documents its robust examination of each AGE0's duration, any significant periods without undercover activity, and accomplishment of goals.
250	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	4	Resolved	Ensure that AGE0 6-month reauthorization requests are submitted to the DAAG for review and approval, as required by the Department.
251	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	5	Resolved	Develop a process for field offices to submit formal requests for SARC evaluation and DAAG approval for any change in either the direction or objectives of an approved undercover operation, including the addition or removal of high-level AGE0 targets.
252	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	6	Resolved	Evaluate DEA Analysis and Response Tracking System (DARTS) to ensure that AGE0 data is accurate, complete, and consistent and determine the need for enhanced investigative resources and oversight of AGE0s to leverage DARTS information.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
253	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	7	Resolved	Develop and execute a plan to strategically exploit investigative information and evaluate connections between and among AGEOs to identify additional investigative targets (i.e., businesses and entities complicit in Drug Trafficking Organization (DTO) money laundering activities).
254	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	8	Resolved	Ensure that DEA personnel sufficiently document the actions and outcomes of significant AGEO-related investigative actions, especially when these actions involve a law enforcement partner.
255	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	9	Resolved	Formalize in policy additional vetting procedures and oversight processes of Confidential Sources (CSs) used in AGEOs.
256	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	10	Resolved	Enhance the requirements for reauthorization requests of ongoing AGEOs to include transparency in the identification of amounts laundered and specificity in the reporting of seizures, including the relationship between the funds laundered and the amounts identified as seized (i.e., direct seizure, lead passed, foreign counterpart seizure).
257	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	11	Resolved	Begin tracking investigative and legal outcomes of the undercover activities performed during the authorized operation, including the results of prosecution referrals from investigative leads.
258	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	12	Resolved	Enhance the process related to transnational activity to ensure it maintains adequate documentation of required approvals.
259	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	13	Resolved	Evaluate the resources devoted to administrative oversight of investigations involving virtual currency and establish policies setting firm internal controls, risk mitigation and deconfliction techniques, and appropriate record keeping practices.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
260	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	14	Resolved	Update AGEO oversight procedures to review PGI activity and confirm that PGI is not commingled, transferred, or misappropriated. In addition, the DEA should implement an internal control to ensure the use of PGI ceases when AGEO authorization expires.
261	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	15	Resolved	Implement the preventative and detective internal controls over PGI (developing PGI spend plans, timely 90-day reviews, and quarterly headquarters examination of financial activity) as prescribed by the Department's Risk Mitigation Policy Memorandum, the AG FBI Undercover Guidelines, and the Agents Manual.
262	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	16	Resolved	Formally determine whether the policies within the AG FBI Undercover Guidelines apply, in their entirety or in specific instances, to all DOJ law enforcement components; or if the Department must issue new guidance to govern undercover operations that are initiated by DOJ law enforcement components outside of the FBI.
263	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	17	Resolved	Ensure that the DEA's policies reflect necessary measures and controls stipulated in applicable Department undercover guidance.
264	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	18	Resolved	Evaluate and determine whether the 2006 DAAG exemptions for Shelf and Border AGEOS, given requirements in Department-wide guidance on undercover operations, should remain and coordinate with the DEA on the results.
265	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	19	Resolved	Determine whether the USAOs have implemented a process for coordinating with other prosecutorial offices when sensitive investigative activities, such as the DEA's AGEOS, are likely to span jurisdictional boundaries, as required by the Department Risk Mitigation Policy Memorandum.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
266	<u>Audit of the Office of Justice Programs Visions 21 Grant to Advance the Use of Technology Awarded to the National Network to End Domestic Violence, Washington, D.C.</u>	OJP	06/08/2020	1	Resolved	Work with NNEDV to review the remaining unfinished tasks and confirm the feasibility of deploying the mobile app within the award's performance period.
267	<u>Audit of the Office of Justice Programs Visions 21 Grant to Advance the Use of Technology Awarded to the National Network to End Domestic Violence, Washington, D.C.</u>	OJP	06/08/2020	2	Resolved	Work with NNEDV to implement policies and procedures for reporting to OVC performance metrics that are supported with valid and auditable source documents, such as for the number of technical assistance requests by date completed, staff trained on technology improvements, and participants completing technology safety webinars.
268	<u>Audit of the Executive Office for Immigration Review's Fiscal Year 2019 Financial Management Practices</u>	EOIR	06/08/2020	1	Resolved	Work with JMD to: (a) develop a process to compile and monitor the status of interpreter contract obligations and (b) provide EOIR leadership, in coordination with its Office of Administration, the tools to acquire and access to real-time, accurate cost and spending estimates.
269	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products</u>	BOP	06/08/2020	1	Response Not Yet Due	Develop a quality assurance plan applicable to all institutions to mitigate the risk that a vendor could deliver a substandard product to the BOP. The BOP should consider including within this plan the following three-pronged approach: a. consistent on-site visual, weight, and other types of inspections before acceptance of delivery; b. a structured system of random laboratory testing of food products to ensure they meet USDA, U.S. Food and Drug Administration (FDA), contractual, or other applicable standards; and c. a requirement that with every food delivery and/or related claim, vendors submit a written certification that the products meet the contract specifications and have been processed or produced in accordance with USDA, FDA, or other regulatory standards, as applicable.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
270	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products</u>	BOP	06/08/2020	2	Response Not Yet Due	Take measures to enforce the requirement that its procurement officials report contractor performance and quality issues through the Federal Awardee Performance and Integrity System (FAPIIS) and the Contractor Performance Assessment Reporting System (CPARS).
271	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products</u>	BOP	06/08/2020	3	Response Not Yet Due	Take measures to enforce the requirement that procurement officials' pre-award due diligence includes consulting FAPIIS, CPARS and any other appropriate contractor performance databases for relevant information about vendor responsibility.
272	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	2	Resolved	Continue to deploy NESS to all NIBIN partners, and evaluate how NESS could be used to track performance measures that demonstrate the success of the NIBIN program and the program's impact on ATF's overall mission.
273	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	3	Resolved	Enhance its standardized lead report in NESS to include solvability factors in order to provide consistent, valuable information to law enforcement that incorporates ATF guidance.
274	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	4	Resolved	Assess its current lead time minimums for clarity and realism for such multi-year crime gun intelligence contracts; and formalize a procedure for its contracting officials to notify program officials of expiring contracts in a timely manner.
275	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	5	Resolved	Reassess its needs and develop a comprehensive acquisition plan that adequately addresses all significant acquisition considerations in FAR Subpart 7.105.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
276	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	6	Resolved	Enhance its policies related to documenting assessments of inherently governmental functions (and those closely associated with such functions) to include all FAR and DOJ requirements, while allowing program and contracting officials to consider circumstances unique to each acquisition.
277	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	7	Resolved	Evaluate and update its policies and procedures to ensure that its contract personnel: (1) create timely QASPs in conjunction with the SOW and (2) ensure that ATF employees assisting with contract monitoring and thus serve as Government Technical Representatives know of and receive appropriate training to effectuate their roles and responsibilities.
278	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	9	Resolved	Develop policies and procedures that provide guidance on how to prepare IGCEs with the adequate basis and rationale necessary to support the CO's determination of fair and reasonable pricing.
279	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	12	Resolved	Develop procedures to ensure all contract workers complete background investigations and sign non-disclosure agreements prior to working on a contract and complete the required certifications and security trainings.
280	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	14	Unresolved	Remedy \$10,982 in unallowable labor costs.
281	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	15	Resolved	Enhance its policies and procedures related to invoice review to ensure that it does not pay unallowable costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
282	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	16	Resolved	Develop procedures to ensure contractors implement appropriate quality control plans and procedures, including quality control program awareness and associated training for contract personnel.
283	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Administration of the National Integrated Ballistic Information Network and Its Sole-Source Contracts Awarded to Shearwater Systems, LLC</u>	ATF	06/03/2020	17	Resolved	Develop policies or procedures to enhance its oversight of contractor compliance with contract terms and conditions, including monitoring to ensure work is performed within the scope of the contract, ATF approves key personnel changes, and required separation checklists and procedures are completed.
284	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	1	Resolved	Coordinate with COVA to ensure that progress reports are accurate and fully supported.
285	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	2	Resolved	Coordinate with COVA to develop policies and procedures to ensure it adheres to all special conditions of the awards.
286	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	3	Resolved	Coordinate with COVA to ensure it develops and implements new policies and procedures that include specific language regarding separation of duties, procurement, verifying that its vendors are not debarred or suspended from doing business with the federal government, approving and paying expenditures, matching costs, indirect costs, and performance measurement and outcome assessment.
287	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	4	Resolved	Coordinate with COVA to ensure that all personnel costs charged to the awards are based on actual time and effort.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
288	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	5	Resolved	Ensure that COVA include all award-related expenditures in its general ledgers for the awards.
289	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	6	Resolved	Remedy the \$7,373 in unallowable questioned costs related to the \$5,840 in unallowable personnel costs and \$1,533 in unallowable other direct costs.
290	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	7	Resolved	Remedy the \$207,953 in unsupported questioned costs related to the \$12,418 in unsupported personnel costs and \$195,535 in unsupported other direct costs.
291	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	8	Resolved	Remedy the \$2,788 in unallowable matching funds.
292	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	9	Resolved	Remedy the \$259,827 in unsupported matching costs.
293	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	10	Resolved	Coordinate with COVA to ensure it meets its matching requirements, tracks all matching transactions on its general ledgers, and implements new policies and procedures to ensure matching costs are properly supported.
294	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	11	Resolved	Coordinate with COVA to ensure it submits accurate FFRs.
295	<u>Audit of the Federal Bureau of Prisons' Sentry System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	BOP	05/18/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
296	<u>Audit of the Federal Bureau of Prisons' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	BOP	05/18/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
297	<u>Audit of the Federal Bureau of Prisons' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	BOP	05/18/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
298	<u>Audit of the Office of Justice Programs' Denial of Federal Benefits and Defense Procurement Fraud Debarment Clearinghouse System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
299	<u>Audit of the Office of Justice Programs' Denial of Federal Benefits and Defense Procurement Fraud Debarment Clearinghouse System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
300	<u>Audit of the Office of Justice Programs' Denial of Federal Benefits and Defense Procurement Fraud Debarment Clearinghouse System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
301	<u>Audit of the Office of Justice Programs' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
302	<u>Audit of the Office of Justice Programs' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
303	<u>Audit of the Office of Justice Programs' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	14	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
304	<u>Audit of the Office of Justice Programs' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	16	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
305	<u>Audit of the Office of Justice Programs' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	OJP	05/04/2020	18	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
306	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
307	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
308	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
309	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
310	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
311	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
312	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
313	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
314	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
315	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
316	<u>Audit of the Office on Violence Against Women Justice for Families Program Grant Awarded to the Arlington County Supervised Visitation and Safe Exchange Program, Arlington, Virginia</u>	OVW	03/31/2020	1	Resolved	Require that Safe Havens officials implement policies and procedures to support performance and activities contained in each progress report with valid and auditable source documents, as required by the DOJ Grants Financial Guide.
317	<u>Audit of the Federal Bureau of Investigation's Legacy Pocatello Data Center Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
318	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
319	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
320	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
321	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
322	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
323	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
324	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
325	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
326	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
327	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
328	<u>Audit of the Federal Bureau of Investigation's Western New York Regional Computer Forensics Laboratory - Buffalo, New York</u>	FBI	03/31/2020	1	Resolved	Develop an implementable strategy that ensures that the WNYRCFL receives its accreditation within 3 years.
329	<u>Management Advisory Memorandum for the Director of the Federal Bureau of Investigation Regarding the Execution of Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	03/30/2020	1	On Hold/Pending with OIG	Institute a requirement that it, in coordination with NSD, systematically and regularly examine the results of past and future accuracy reviews to identify patterns or trends in identified errors so that the FBI can enhance training to improve agents' performance in completing the Woods Procedures, or improve policies to help ensure the accuracy of FISA applications.
330	<u>Management Advisory Memorandum for the Director of the Federal Bureau of Investigation Regarding the Execution of Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	03/30/2020	2	On Hold/Pending with OIG	Perform a physical inventory to ensure that Woods Files exist for every FISA application submitted to the FISC in all pending investigations.
331	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	1	Resolved	Coordinate with RST to ensure that progress reports are accurate and fully supported.
332	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	2	Resolved	Coordinate with RST to develop policies and procedures to ensure it adheres to all special conditions of the awards.
333	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	3	Resolved	Coordinate with RST to develop and implement new policies and procedures that include specific language regarding matching costs, contract award and management, subrecipient award and management, and performance measurement and outcome assessment.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
334	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	4	Resolved	Remedy the \$24,969 in unallowable questioned costs related to the \$10,702 in unallowable personnel costs, \$9,689 in unallowable contractor and consultant costs, and \$4,578 in unallowable other direct costs.
335	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	5	Resolved	Remedy the \$26,392 in unsupported questioned costs related to the \$7,285 in unsupported personnel costs, \$10,855 in unsupported contractor and consultant costs, and \$8,252 in unsupported other direct costs.
336	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	6	Resolved	Coordinate with RST to ensure that it maintains adequate documentation to support contractor costs charged to the awards, including current contract agreements for all contractors and consultants and detailed invoices, as well as ensuring that contractors and consultants are only paid for services rendered.
337	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	7	Resolved	Coordinate with RST to ensure it adequately monitors its award subrecipients.
338	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	8	Resolved	Remedy the remaining \$248,973 of the \$256,975 in unsupported matching costs.
339	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	9	Resolved	Coordinate with RST to ensure it meets its matching requirements, tracks all matching transactions on its general ledgers, and implements new policies and procedures to ensure matching costs are properly supported.
340	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	10	Resolved	Coordinate with RST to ensure that it submits accurate FFRs.
341	<u>Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds</u>	USMS	03/25/2020	1	Resolved	Remedy the \$508,720 in unsupported overtime costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
342	<u>Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds</u>	USMS	03/25/2020	2	Resolved	Strengthen its monitoring of task forces by establishing a schedule sufficient to ensure that each task force is periodically reviewed within reasonable timeframes for compliance with JLEO requirements.
343	<u>Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds</u>	USMS	03/25/2020	3	Resolved	Share with all task forces any information developed during its monitoring assessments—such as best practices or issues of common concern—that could assist the task forces in ensuring compliance with JLEO requirements.
344	<u>Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds</u>	USMS	03/25/2020	4	Resolved	Develop a policy that handwritten changes to timesheets must identify who made the change, when the change was made, and for what purpose.
345	<u>Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds</u>	USMS	03/25/2020	5	Resolved	Provide task forces with implementation guidance specifying the circumstances under which overtime rates may be reimbursed at greater than one and a half times the base hourly rate.
346	<u>Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations</u>	OJP	03/24/2020	1	Resolved	Periodically evaluate the timeliness and circumstances of grantees producing supporting documentation to remedy questioned costs and implement guidance or policy and proactive measures to better ensure grantees maintain proper and contemporaneous support for grant expenditures.
347	<u>Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations</u>	OJP	03/24/2020	2	Resolved	Establish and communicate to each grant recipient time limits for providing requested supporting documentation depending on the complexity of the audit findings.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
348	<u>Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations</u>	OJP	03/24/2020	3	Resolved	Evaluate the risks posed by the proportion of unallowable questioned costs OJP retroactively approves, determine enhancements to its enforcement practices for unallowable questioned costs identified in audits and reviews, and periodically review grant terms for which OJP routinely approves deviations through Grant Adjustment Notices and retroactive approvals and identify strategies that better ensure grant recipients are spending grant funds for allowable purposes.
349	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	1	Resolved	Work with the Department to determine: (a) an accurate population of international and domestic terrorists incarcerated at, or in transit to, its institutions; and (b) determine whether any existing and previously unmonitored communications, such as emails or recorded telephone calls, should be reviewed.
350	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	2	Resolved	Assess which of its institutions do not conduct arrival interviews and determine whether BOP policy should require these interviews to potentially help identify terrorist and other high-risk inmates.
351	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	3	Resolved	Explore all available and alternative processes, including routine NCIC checks, with the Department for screening incoming inmates for terrorist connections, and implement policy and procedures as appropriate.
352	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	4	Resolved	Work with the Department to develop a complete universe of previously unidentified terrorist inmates and obtain information from the Department that will help the BOP determine if the 46 released inmates we identified meet its definition of a terrorist. If any of them do, then we recommend that the BOP add them to its historical list of formerly incarcerated terrorists to make it accurate and notify the FBI of their release.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
353	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	5	Resolved	Review the quality of the telephone monitoring equipment at institutions requiring FBI monitoring of inmates under a SAM directive, and work with the FBI to make improvements to ensure effective monitoring can be conducted with the equipment at each of those institutions.
354	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	6	Resolved	Assess the sound quality in BOP visiting rooms utilized by terrorist inmates subject to SAM directives and improve the microphones in any identified facilities with inadequate equipment so that the FBI can effectively perform its monitoring as required under the SAM directive and the BOP can perform similar monitoring of its terrorist and other high-risk inmates.
355	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	7	Resolved	Work with the Department to establish procedures to prevent terrorist inmates from viewing discovery materials in the presence of other inmates and consider additional steps to minimize the risk that discovery material containing radical or harmful content can be inappropriately shared with other inmates.
356	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	8	Resolved	Establish a standard that will help ensure general population emails are monitored in a consistent manner and establish a policy that allows staff to forward for translation general population emails in accordance with this standard.
357	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	9	Resolved	Eliminate the automatic delivery of email to high-risk inmates.
358	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	10	Resolved	Review and implement policy and procedures to ensure that BOP staff are providing appropriate attention to the communications they are required to monitor.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
359	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	11	Resolved	Create a more thorough and useful national list of key words, assess available technology that could assist in identifying concerning email communications, and develop a mechanism in TRULINCS to automatically notify staff of any emails that require closer scrutiny.
360	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	12	Resolved	Establish controls that mitigate the risk of inmates communicating with unknown and un-vetted parties and take steps, including the utilization of available technological features as found in TRULINCS, to reduce the risk of mass emails being received by high-risk inmates, including terrorist inmates.
361	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	13	Resolved	Establish a TRUFONE control that allows the system to alert BOP management of any calls that were not completely monitored.
362	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	14	Resolved	Reassess its TRUFONE controls and take steps to prevent terrorist and other high-risk inmates from communicating with unknown and unapproved contacts.
363	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	15	Resolved	Review cellblock conversation monitoring policy, procedures, and capabilities to determine whether and how improvements can be made to achieve security goals, including improvement of audio monitoring systems.
364	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	16	Resolved	Revisit its social communication monitoring policy for high-risk inmates, including terrorist inmates, to better ensure that all visits between terrorist inmates and their visitors are sufficiently monitored.
365	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	17	Resolved	Establish timelines for staff to nominate terrorist inmates for inclusion on the Posted Picture File and for Wardens to approve or deny the nominations.
366	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	ODAG	03/24/2020	18	Resolved	Determine whether the BOP should conduct an inventory of the books and publications found in the cells of all terrorist inmates and provide it to the FBI for review.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
367	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	ODAG	03/24/2020	19	Resolved	Develop a mechanism to notify the BOP of all terrorists in its custody, including those whose convictions are under a sealed U.S. court order.
368	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	1	Resolved	Ensure all firearms in its custody are properly entered into the appropriate property management system.
369	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	2	Resolved	Ensure all firearms in its inventory have a designated property custodian, in accordance with policy.
370	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	3	Resolved	Ensures that complete documentation of lost and stolen FBI firearms is maintained, including all pertinent information such as the firearm make, model, and serial number.
371	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	4	Resolved	Ensure that the status of all lost or stolen firearms that are subsequently recovered is accurately reflected in AMS.
372	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	5	Resolved	Amend its policy for tracking ammunition to require that all rounds of each type of ammunition be tracked using handwritten logs that detail the date, caliber, quantity in or out, purpose of the ammunition transaction, and remaining balance; and require all ammunition tracking logs to be retained for at least 3 years.
373	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	6	Resolved	Revise its current policy to require its offices to conduct a complete inventory of all ammunition on hand on an annual basis to ensure all recorded ammunition inventory balances are accurate, and to retain those records for at least 3 years.
374	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	7	Resolved	Establish policy requiring that less lethal munitions and diversionary devices must be stored in a secure area, with access limited to designated personnel.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
375	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	8	Resolved	Establish policy for tracking less lethal munitions and diversionary devices that requires all quantities of each type of less lethal munition and diversionary device to be tracked using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and require all less lethal munition and diversionary device tracking logs to be retained for at least 3 years.
376	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	9	Resolved	Require its offices to conduct a complete physical inventory of all less lethal munitions and diversionary devices on an annual basis and retain those records for at least 3 years.
377	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	10	Resolved	Amend its policy requiring all quantities of each type of explosive material be tracked by its SABTs using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and retain all explosives tracking records for at least 3 years.
378	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	11	Resolved	Require its offices to conduct a complete physical inventory of all explosives on an annual basis and retain those records for at least 3 years.
379	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	12	Resolved	Amend its policy to require Evidence Control Technicians to obtain and upload a notification of destruction from the FTU prior to marking the firearm evidence as disposed in SENTINEL, and require the firearm evidence disposal date in SENTINEL to reflect the date the firearm is destroyed.
380	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	13	Resolved	Require proof of delivery to be maintained in SENTINEL for firearms evidence shipped to the FTU for disposal.
381	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
382	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
383	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
384	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
385	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
386	<u>Management Advisory Memorandum of Concerns Identified in the Handling of Supervisor-Subordinate Relationships Across DOJ Components</u>	ODAG	03/10/2020	1	Resolved	Consider these differing policies governing supervisor-subordinate relationships and determine whether to adopt a consistent policy across all Department components.
387	<u>Management Advisory Memorandum of Concerns Identified in the Handling of Supervisor-Subordinate Relationships Across DOJ Components</u>	ODAG	03/10/2020	2	Resolved	Assess whether a subordinate should be required to report a romantic or intimate relationship with his or her supervisor.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
388	<u>Management Advisory Memorandum of Concerns Identified in the Handling of Supervisor-Subordinate Relationships Across DOJ Components</u>	ODAG	03/10/2020	3	Resolved	If the Department concludes that a subordinate should be required to report a romantic or intimate relationship with his or her supervisor, the Department should consider: a. Alternate mechanisms for that reporting, such as reporting outside the subordinate's chain of command, b. What penalties, if any, should flow from a failure to report; and c. Whether the penalty for a supervisor's failure to report a romantic or intimate relationship should be more severe than the penalty, if any, for a subordinate's failure to report the same relationship.
389	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
390	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
391	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
392	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
393	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
394	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
395	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
396	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
397	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
398	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	1	Resolved	Identify and document lessons learned from the Deputy Director's Closed Guardian Review and disseminate the results to senior headquarters and field office leadership to help ensure the underlying problems related to procedures, implementation, and oversight of this endeavor do not occur in future large-scale internal reviews and initiatives.
399	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	2	Resolved	Assess the legal, policy, and civil liberties issues implicated by the FBI's Office of General Counsel (OGC) guidance related to database checks during the Deputy Director's Closed Guardian Review, as well as Counterterrorism Division (CTD) and field offices' execution of the review in contrast to this guidance, and determine what, if any, follow-up action is necessary. If the FBI's OGC guidance was required by policy, determine whether it may be appropriate and consistent with applicable law to amend FBI policy to permit follow-up inquiries of closed assessments in certain circumstances.
400	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	3	Resolved	Review the use of TECS alerts in Guardian assessments and provide clear guidance to CTD and field offices about when such use is appropriate.

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401	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	4	Resolved	Ensure field offices are fully aware of when to seek certain forms of legal process in connection with Guardian assessments and collaborate with CTD to discuss their coordination with USAOs and other options for obtaining records.
402	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	5	Resolved	In conjunction with the efforts to address Recommendation Number 2, examine current field office initiatives that provide an ongoing mechanism to revisit subjects of closed assessments and investigations. This examination should identify any legal, policy, and civil liberties implications so that a decision can be made as to whether all FBI field offices should undertake similar initiatives.
403	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	6	Resolved	Review existing guidance for processing and systematically categorizing, assigning, and assessing threats and suspicious activities in the Guardian system that are related to counterterrorism threat-to-life incidents, and develop any additional policies and procedures required. Once completed, ensure that this information is disseminated to the Criminal Investigative Division (CID) for review and deploy cross-training between CTD and CID for appropriately conducting assessments of threats-to-life.
404	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	7	Resolved	Develop and implement a comprehensive strategy for assessing and mitigating threats with subjects who have mental health concerns, which incorporates a requirement for field offices to establish a plan to utilize the resources and legal techniques specific to their area of responsibility to address this emerging threat, and deploy a training module to provide subject mitigation techniques when dealing with individuals suffering from mental health problems.

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405	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention</u>	BOP	03/02/2020	1	On Hold/Pending with OIG	Provide component personnel with training to carry out their assigned information system security-related duties and responsibilities, including specific training on how to use BOP-issued Samsung mobile devices in compliance with these duties and responsibilities.
406	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention</u>	BOP	03/02/2020	2	On Hold/Pending with OIG	Refer to the "personal container" on the BOP-issued Samsung mobile phone as the "unsecured container," or "unsecured section" of the phone.
407	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention</u>	BOP	03/02/2020	3	On Hold/Pending with OIG	Develop a component-specific ROB agreement that incorporates all the provisions of DOJ's general ROB.
408	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention</u>	BOP	03/02/2020	4	On Hold/Pending with OIG	Incorporate a warning banner that appears on the lock screen of its mobile devices, advising users that activities conducted on the device, including on the "unsecured container," are subject to monitoring and review and that users have no expectation of privacy in any communications conducted on the device.
409	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	ODAG	02/20/2020	1	Resolved	In consultation with the appropriate Department components and other federal stakeholders: formally assess the risks and benefits of seeking to obtain Big 4 pricing for pharmaceutical purchases, as well as the authority to cap reimbursement for outside medical care at the Medicare rate, for the Department and all of its components, and, if warranted by the assessments, develop a plan to obtain such pricing and/or authority, including timeframes and assignments of responsibility for pursuing the plan.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
410	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	2	Resolved	Establish and issue to institutions purchasing guidelines to help identify the lowest price drugs when Pharmaceutical Prime Vendor Program drugs are out of stock or unavailable, and consider including the drug purchasing hierarchy in the Health Services Program Review Guidelines for Pharmacy Services.
411	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	3	Resolved	Ensure that institutions follow federal procurement regulations to compete all drug purchases equal to or greater than the micro-purchase threshold.
412	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	4	Resolved	Ensure that institutions' compliance with cost-efficient drug procurement practices is monitored through program review.
413	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	5	Resolved	Ensure that institutions track and report to the Central Office all of their drug purchases, particularly those from non-prime vendor sources, and capture details such as source, purchase date, quantity, and price.
414	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	6	Resolved	Require that institutions place orders for out-of-stock drugs through the prime vendor, or otherwise implement a method for notifying the prime vendor when needed drugs are unavailable that also ensures that the BOP is receiving all credits to which it is contractually entitled.
415	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	7	Resolved	Assess the costs and benefits of the programs that are on hold or limited due to resources, including pharmacy clinics, Central Fill and Distribution, and the BOP Mail Order Pharmacy, and determine whether expansion or initiation of these programs would be helpful to control long-term costs.
416	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	8	Resolved	Complete and implement the Hepatitis C dashboard that will allow the BOP to accurately track and report Hepatitis C testing, diagnoses, priority levels, and treatment, and require institutions to maintain it.

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417	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	9	Resolved	Assess the costs and benefits of requiring institutions to implement universal, voluntary opt-out Hepatitis C testing, and determine whether implementing this policy would be appropriate.
418	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	1	Resolved	Establish in policy or guidance the mission, role, and functions of the Senior Federal Law Enforcement Official, including qualifications, the appropriate components from which to select the official, and how a Senior Federal Law Enforcement Official coordinates with the ESF-13 program during a critical incident response.
419	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	2	Resolved	Ensure that the Senior Federal Law Enforcement Official cadre participates in National Level Exercises, when applicable, and ESF-13 Table Top Exercises.
420	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	3	Resolved	Reevaluate ESF-13's funding process and operational requirements to determine appropriate funding mechanisms and cost sharing.
421	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	4	Resolved	Reevaluate ESF-13's staffing to determine appropriate permanent staffing requirements and responsibilities.
422	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ATF	02/11/2020	6	Resolved	Ensure that Federal Law Enforcement Officers are provided with legal guidance about how to respond to violations of state or local law when carrying out their ESF-13 mission within a disaster area, and identify a point of contact for Federal Law Enforcement Officers to consult for additional legal guidance during deployments.
423	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ATF	02/11/2020	7	Resolved	Develop a comprehensive training program that outlines ESF-13's functions and addresses operational control and misconceptions to assist future ESF-13 team leaders in preparing for and executing ESF-13 missions.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
424	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons on Ensuring That Contractor and Grantee Employees Are Notified of Whistleblower Rights and Remedies</u>	BOP	01/24/2020	1	Resolved	Take steps to ensure that its contractors and any grantees are aware of the whistleblower protections that federal law provides for employees of federal contractors and grantees. Specifically, the BOP should ensure that its contractors, subcontractors, and grantees inform their employees in writing of the rights and remedies provided by § 4712, as required by the law and the FAR.
425	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons on Ensuring That Contractor and Grantee Employees Are Notified of Whistleblower Rights and Remedies</u>	BOP	01/24/2020	2	Resolved	Take steps to ensure that its contractors and any grantees take appropriate actions to conform their internal policies to federal law.
426	<u>Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois</u>	OVW	01/21/2020	3	Resolved	Ensure that Life Span's changes in time and effort and cost allocation methodology associated with the senior attorney assigned to the grant is appropriate and acceptable.
427	<u>Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois</u>	OVW	01/21/2020	4	Resolved	Remedy \$9,371 in unallowable costs related to salary expenses, pension-related fringe benefits, and advanced drawdowns.
428	<u>Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois</u>	OVW	01/21/2020	5	Resolved	Coordinate with Life Span to ensure that its rent allocation costs are appropriate, allowable, and supported.
429	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2019</u>	ALLDOJ	12/17/2019	1	On Hold/Pending with OIG	Assess reconciliation, financial reporting review, and other monitoring controls, and identify those areas where component management could increase the rigor and precision of those controls. (Updated)
430	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2019</u>	ALLDOJ	12/17/2019	3	On Hold/Pending with OIG	Enhance controls over conversion activities to ensure converted information in Unified Financial Management System (UFMS) has the necessary attributes and indicators. (New)
431	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2019</u>	ALLDOJ	12/17/2019	4	On Hold/Pending with OIG	Revise its training processes associated with the implementation of new accounting standards. (New)

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
432	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2019</u>	JMD	12/17/2019	1	On Hold/Pending with OIG	Document the specific requirements for the supervisory review of the AFF/SADF financial statements, which should include critically reviewing the financial statement amounts and disclosures to ensure they agree to the relevant crosswalks or other supporting documentation and are properly presented. (Updated)
433	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2019</u>	JMD	12/17/2019	2	On Hold/Pending with OIG	Implement effective risk assessment controls to identify, analyze, and respond to risks related to new financial reporting requirements. When new financial statement requirements are implemented, management should provide adequate training and allocate appropriate resources to ensure the new requirements are properly implemented in accordance with the applicable financial reporting requirements. (New)
434	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	3	On Hold/Pending with OIG	Develop protocols and guidelines for staffing and administrating any future sensitive investigative matters from FBI Headquarters.
435	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	4	On Hold/Pending with OIG	Address the problems with the administration and assessment of CHSs identified in the report. (see items 4a. through 4f. on pages 415 and 416).
436	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	6	On Hold/Pending with OIG	Ensure that appropriate training on DIOG § 4 is provided to emphasize the constitutional implications of certain monitoring situations and to ensure that agents account for these concerns, both in the tasking of CHSs and in the way they document interactions with and tasking of CHSs.
437	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	7	On Hold/Pending with OIG	Establish a policy regarding the use of defensive and transition briefings for investigative purposes, including the factors to be considered and approval by senior leaders at the FBI with notice to a senior Department official, such as the Deputy Attorney General.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
438	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	OPR	12/09/2019	8	On Hold/Pending with OIG	Review our findings related to the conduct of Department attorney Bruce Ohr for any action it deems appropriate. Ohr's current supervisors in the Department's Criminal Division should also review OIG's findings related to Ohr's performance for any action they deem appropriate.
439	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	9	On Hold/Pending with OIG	Review the performance of all employees who had responsibility for the preparation, Woods review, or approval of the FISA applications, as well as the managers, supervisors, and senior officials in the chain of command of the Carter Page investigation, for any action deemed appropriate.
440	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	1a	On Hold/Pending with OIG	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of report.
441	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	1b	On Hold/Pending with OIG	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of the report.
442	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	2a	Response Not Yet Due	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
443	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	2b	On Hold/Pending with OIG	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
444	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	5a	On Hold/Pending with OIG	Clarify the terms in their policies. (Refer to 5a. and 5b. on page 416 of the report for terms listed).
445	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	5b	On Hold/Pending with OIG	Clarify the terms in their policies. (Refer to 5a. and 5b. on page 416 of the report for terms listed).
446	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	1	Resolved	Ensure that the DI designs, implements, and adheres to validation policies and procedures for its long-term CHSs that comply with the AG Guidelines, or coordinate with the Department to seek revisions to the AG Guidelines, as necessary.
447	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	2	Resolved	Dedicate sufficient and appropriately trained personnel to ensure that long-term CHS validations, including backlogged long-term CHS validations, are conducted in accordance with the requirements of the AG Guidelines.
448	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	3	Resolved	Coordinate with the Department and update, as necessary, its long-term CHS validation report to ensure that it addresses the appropriate scope of review and memorializes any validation personnel's conclusions or recommendations.
449	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	5	Resolved	Develop and implement an automated workflow in Delta to ensure that all handling agents request and document SAC approval or disapproval for the continued handling of CHSs in excess of 5 years.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
450	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	6	Resolved	Update its Policy Guide to formally incorporate its Validation Manual in accordance with the IPO Policy Directive to ensure current validation processes and procedures are in compliance with AG Guidelines requirements.
451	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	7	Resolved	Ensure all validation process roles and responsibilities are defined and field office personnel receive adequate training on the validation processes.
452	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	8	Resolved	Reengineer its process for CHS validation to ensure that the CHSs with the greatest risk factors are selected, that those selections are independently assessed by headquarters, and that continued CHS use determinations receive appropriate headquarters scrutiny.
453	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	9	Resolved	Ensure that headquarters validation personnel document their analyses, conclusions, and recommendations in validation reports.
454	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	10	Resolved	Update its Validation Manual to ensure that its annual CHS review process is accurately documented and review and update its annual CHS report to ensure that it sufficiently addresses CHS risks, provide field offices guidance on the updates, and stress to field offices the important of the annual CHS report in the FBI's validation process.
455	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	11	Resolved	Develop and implement a policy that clearly informs FBI personnel of the acceptable platforms for communicating with CHSs and provide training to its workforce on the policy.
456	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	13	Resolved	Take actions to mitigate its gaps in CHS coverage by prioritizing the development of its new threat intelligence and CHS coverage system and ensure that the policies and procedures for its use are documented and accompanied by detailed training on the new system.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
457	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	14	Resolved	Consult with all proposed stakeholders that will be responsible for providing data to the new system, identify any other data integrity issues, and document the policies and procedures for ongoing data quality monitoring of its new threat intelligence and CHS coverage system.
458	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	15	Resolved	Coordinate to ensure the composition of the HSRC is sufficient and appropriate and includes the requisite skills and knowledge to approve the continued use of FBI's long-term CHSs and seek revisions to the AG Guidelines, as necessary, to memorialize any changes in the composition .
459	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	16	Resolved	Coordinate to consider establishing additional HSRCs or increasing the frequency of the HSRC meetings until the backlog of CHSs awaiting HSRC approval for continued use is eliminated.
460	<u>Audit of the U.S. Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/06/2019	2	On Hold/Pending with OIG	Establish control activities to ensure sufficient field lengths, as permitted by the DAIMS, are used for the consolidated files, and perform additional edit checks in the consolidation process to ensure that data from the component files are accurately and completely captured in the consolidated files. (New)
461	<u>Audit of the U.S. Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/06/2019	5	On Hold/Pending with OIG	Instruct Department contracting officers and grant officials on the definitions of the data elements and their proper recording in FPDS-NG and FABS and emphasize the importance of accurately inputting data into FPDS-NG and FABS. (New)
462	<u>Management Advisory Memorandum of Concerns Identified in the Office of Justice Programs Regional Information Sharing Systems Grants</u>	OJP	10/31/2019	1	Resolved	Conduct an examination of the total amount of RISS funding provided to the RDA by the six RISS Centers since its inception, as well as an examination of how the RISS funds provided to the RDA were used since it was established. OJP should also consider requiring the RISS Centers to stop funding the RDA.

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463	<u>Audit of the Office on Violence Against Women Grants to Encourage Arrest Policies and Enforcement of Protection Orders Awarded to the Fairfax County Domestic Violence Action Center, Fairfax, Virginia</u>	OVW	10/30/2019	1	Resolved	Work with Fairfax County to evaluate, establish, and report quantifiable deliverables and milestones for each of the objectives of Grant Number 2017-WE-AX-0046.
464	<u>Audit of the Office on Violence Against Women Grants to Encourage Arrest Policies and Enforcement of Protection Orders Awarded to the Fairfax County Domestic Violence Action Center, Fairfax, Virginia</u>	OVW	10/30/2019	2	Resolved	Coordinate with Fairfax County to ensure that progress reports are accurate and fully supported.
465	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	1	Resolved	Develop a national prescription opioid enforcement strategy that encompasses the work of all DEA field divisions tasked with combating the diversion of controlled substances, and establish performance metrics to measure the strategy's progress.
466	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	3	Resolved	Implement electronic prescribing for all controlled substance prescriptions.
467	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	4	Resolved	Require that all suspicious orders reports be sent to DEA headquarters.
468	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	5	Resolved	Take steps to ensure that DEA diversion control personnel responsible for adjudicating registrant reapplications are fully informed of the applicants' history resulting in a prior registration being revoked by DEA, surrendering a prior registration for cause, losing a state medical license, or other conduct which may threaten the public health and safety by improving information provided to such personnel about the standards to apply in making decisions on such applications.
469	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	7	Resolved	Revive a drug abuse warning network to identify emerging drug abuse trends and new drug analogues and respond to these threats in a timely manner.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
470	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	ODAG	09/30/2019	8	Resolved	Make efforts to enlist state and local partners to provide DEA with consistent access to state-run Prescription Drug Monitoring Programs.
471	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	ODAG	09/30/2019	9	Resolved	Consider expanding the Opioid Fraud and Abuse Detection Unit pilot to additional U.S. Attorney's Offices and increasing the number of federal prosecutors dedicated to prosecuting opioid-related cases.
472	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	2	Resolved	Ensure CFSD implements and adheres to written policies and procedures for payroll that require grant-funded employees to document actual time worked using time and effort reports or periodic certifications that are approved by a supervisory official with firsthand knowledge of the employee's work.
473	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	3	Resolved	Remedy \$113,654 in unsupported fringe benefit costs that were not adequately supported with time and effort reports or periodic certifications.
474	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	3	Resolved	Remedy \$256,012 in unsupported personnel costs that were not adequately supported with time and effort reports or periodic certifications.
475	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	4	Resolved	Ensure CFSD implements and adheres to written procurement policies and procedures at the district level that are in compliance with RI State Procurement Regulations and federal criteria to ensure a fair and transparent procurement process that results in prices that are reasonable and supported.
476	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	6	Resolved	Ensure CFSD implements and adheres to policies and procedures that require consultant costs to be adequately supported with time and effort reports that demonstrate actual hours worked on grant activities.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
477	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	7	Resolved	Ensure CFSD implements and adheres to its recently developed written subrecipient monitoring policies and procedures.
478	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	8	Resolved	Ensure CFSD implements and adheres to written policies and procedures for budget management that require CFSD to periodically compare its grant costs to the grant budget to ensure all grant funds are spent within approved budget categories.
479	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	9	Resolved	Ensure CFSD updates its written policies and procedures for grant drawdowns to include effective controls to ensure any drawdowns taken in advance are detected and returned after 10 days if unspent.
480	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	10	Resolved	Ensure CFSD implements and adheres to written policies and procedures for financial reporting that require CFSD to periodically reconcile cumulative reported amounts with grant costs recorded in CFSD's accounting system and review its financial reports for accuracy.
481	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	5a	Resolved	Remedy \$1,434,081 in unsupported contractual costs that lacked an adequate justification of price demonstrating the costs were reasonable.
482	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	5b	Resolved	Remedy \$166,334 in unsupported contractual costs that lacked adequate documentation demonstrating the procurement was conducted in compliance with RI State Procurement Regulations.
483	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	5c	Resolved	Remedy \$447,490 in unsupported consultant costs that were not adequately supported with time and effort reports.

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484	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	1	Resolved	Provide additional oversight and assistance to the MPD to ensure that the award goals and objectives are adequately achieved for the 2016 CGIC award. Moreover, with the MPD's current award nearing completion, OJP should also evaluate whether the full amount of remaining funds under this award is needed. Finally, OJP should ensure that the MPD implements policies and procedures that appropriately plan for project milestones for future awards.
485	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	2	Resolved	Require the MPD to implement policies and procedures to ensure that the MPD maintains valid and auditable source documentation to support performance measures reported in the semi-annual progress reports.
486	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	3	Resolved	Require the MPD to enhance its existing policy to ensure that award funds are only paid to recipients that are eligible to receive federal funding, which includes individuals and subrecipients. This policy should also require that review of the System for Award Management (SAM) is completed for each award on a regular basis.
487	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	4	Resolved	Review the subrecipient arrangement between the MPD, its first-tier subrecipient, and the second-tier academic partner to identify areas to improve efficiencies and effectiveness in meeting required award objectives for the 2016 CGIC award. This should include determining if administrative and overhead costs should be reallocated and ensuring that each subrecipient is responsible for distinct and measurable deliverables. In addition, we recommend that OJP ensure that the MPD has appropriate policies and procedures for establishing and structuring subrecipient agreements under future awards.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
488	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	5	Resolved	Require the MPD to implement policies and procedures to ensure that the MPD's subrecipient agreements contain the proper elements required by the DOJ Grants Financial Guide.
489	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	6	Resolved	Require the MPD to enhance existing policies and procedures to ensure that the MPD conducts adequate oversight and monitoring of its subrecipients, as outlined in the DOJ Grants Financial Guide. This includes ensuring that these policies are distributed to the appropriate MPD personnel, and that those personnel are properly trained on the policy.
490	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	7	Resolved	Remedy \$89,412 in unsupported subrecipient costs.
491	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	1	Resolved	Complete the heating, ventilation, and cooling equipment upgrade subproject at Metropolitan Detention Center Brooklyn and ensure that the equipment is capable of maintaining temperatures at BOP targets. Further, ensure that upgraded hardware and Building Management System software allow facilities staff to accurately monitor building temperatures and heating, ventilation, and cooling equipment performance.
492	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	2	Resolved	Take further action to diagnose the sources of temperature regulation issues and remedy them, if the upgraded Metropolitan Detention Center Brooklyn heating, ventilation, and cooling system cannot maintain building temperatures at BOP targets.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
493	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	4	Resolved	Ensure that, in the absence of Building Management System software, institutions use a consistent and sound method to measure and document temperatures and record all maintenance performed on heating, ventilation, and cooling equipment.
494	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	5	Resolved	Require all institutions to develop alternative legal visiting plans that ensure that inmates can access legal counsel as soon as is safely possible after a facilities issue or other disruptive event.
495	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	6	Resolved	Update visitation policies to describe alternative legal visiting arrangements, when legal visiting is restricted under 28 C.F.R. § 540.40, to ensure pretrial inmates may access legal counsel under 28 C.F.R. § 551.117.
496	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	7	Resolved	Require institutions to maintain a list of inmates who use continuous positive airway pressure machines, or other electronic medical devices, in their cells so that institution staff can make every effort to accommodate those inmates in the event of a power outage.
497	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	9	Resolved	Provide institutions additional guidance on how and when staff should inform defense counsel, the courts, inmates' families, and the public about disruptive events affecting the conditions of confinement and institution management decisions that restrict legal and social visiting.
498	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri</u>	OJP	09/24/2019	2	Resolved	Coordinate with the Missouri DPS to determine if changes with its outreach education program are necessary to ensure more people throughout the state of Missouri will learn about the CVC program and avail themselves of its benefits.
499	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri</u>	OJP	09/24/2019	3	Resolved	Work with the Missouri DPS to determine if its state certifications for FYs 2015 through 2018 resulted or will result in funds awarded in error, and if so to take the necessary steps to remedy those funds.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
500	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri</u>	OJP	09/24/2019	5	Resolved	Ensure the Missouri DPS re-submits a corrected performance report for the second quarter of FY 2018.
501	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri</u>	OJP	09/24/2019	7	Resolved	Remedy \$282,606 in questioned costs related to insufficient documentation supporting claimant eligibility.
502	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho</u>	OJP	09/23/2019	1	Resolved	Work with the Council to develop and implement a plan to identify additional victim needs throughout the state so that it can effectively implement its grant program and meet the needs of victims.
503	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho</u>	OJP	09/23/2019	7	Resolved	Remedy \$33,351 in unallowable subrecipient costs.
504	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho</u>	OJP	09/23/2019	8	Resolved	Remedy \$49,069 in unsupported subrecipient costs.
505	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho</u>	OJP	09/23/2019	9	Resolved	Remedy \$3,492 in unallowable administrative expenditures.
506	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho</u>	OJP	09/23/2019	10	Resolved	Ensure the Council adheres to its internal purchasing policies and procedures for any future contracts.
507	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	1	Resolved	Ensure DHHS develops and implements a funding allocation strategy in accordance with the VOCA guidance.
508	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	2	Resolved	Ensure DHHS develops and implements policies and procedures to monitor compliance with the priority area funding requirement.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
509	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	3	Resolved	Ensure DHHS develops and implements policies and procedures that ensure annual performance reports are complete and accurate.
510	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	4	Resolved	Ensure DHHS develops and implements policies and procedures that require reimbursement requests to show subrecipients' actual costs by funding source to ensure proper tracking and use of VOCA funds. It is also critical that DHHS ensure that its Coalitions, who serve as pass-through entities, adopt similar policies and procedures with direct service providers.
511	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	6	Resolved	Ensure DHHS develops and implements policies and procedures so that contracts are awarded in compliance with applicable guidance.
512	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	7	Resolved	Ensure DHHS develops and implements policies and procedures to stay within the parameters of OJP imposed consultant fee thresholds or obtain the necessary prior written approvals from OJP.
513	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	9	Resolved	Ensure DHHS develops and implements policies and procedures to obtain and verify the time and effort reports that accompany consultant invoice payment requests.
514	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	10	Resolved	Ensure DHHS develops and implements policies and procedures to timely reconcile its grant awards to ensure federal cash on hand is the minimum needed for disbursements or reimbursements made immediately or within 10 days, in accordance with the Financial Guide.
515	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	11	Resolved	Remedy \$9,644 in unsupported matching costs submitted by subrecipients.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
516	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	12	Resolved	Ensure DHHS develops and implements policies and procedures to ensure that subrecipient matching costs are monitored and accurately reported to OJP.
517	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	13	Resolved	Ensure DHHS develops and implements policies and procedures that will result in the submission of complete and accurate financial reports.
518	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	14	Resolved	Ensures DHHS develops and implements formal policies and procedures regarding subrecipient monitoring, including establishing a formal on-site visit schedule. Additionally, during site visits and desk reviews, ensure DHHS performs transaction testing of source documentation to ensure the validity VOCA-eligible expenditures.
519	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine</u>	OJP	09/11/2019	15	Resolved	Ensure DHHS develops and implements necessary policies and procedures for a subrecipient risk assessment plan that includes subrecipient specific factors.
520	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	1	Resolved	Establish procedures to specifically address how pricing should be established for out-of-network services and services required during the performance of the contract but not covered by Medicare pricing.
521	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	2	Resolved	Review all of the optometrist and general physician onsite service invoices paid after July 2018, to identify any additional overpayments and request a reimbursement for any additional overpayments identified.
522	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	3	Resolved	Revise its billing review process to ensure billing clerks are provided complete and current pricing schedules that clearly define the duration of a session for pricing purposes and notes the effective dates of changes to the pricing schedule.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
523	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	7	Resolved	Review the proposed Quality Control Program (QCP) for adequacy as required by the CCS contract and ensure CCS timely submits the QCP reports as required.
524	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	9	Resolved	Implement a formal process for documenting requests for required onsite clinics to ensure the contractor is informed of the changing needs of the FCC Coleman Complex.
525	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	10	Resolved	Clearly define responsibility for entering contractor performance information into CPARS and ensure information is entered in a timely and accurate manner.
526	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	11	Resolved	Further assess opportunities to utilize a secure hospital unit and telehealth at FCC Coleman.
527	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	12	Resolved	Complete the modification to the CCS contract to prorate reimbursement for session-based services.
528	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida</u>	BOP	09/03/2019	13	Resolved	Modify the contract to state the terms under which compensation would or would not be made for cancellations.
529	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island</u>	OJP	08/06/2019	2	Resolved	Ensure RIOGT revise its regulations to clarify the basis for awarding lost wages for seasonal, non-salaried, and intermittent work.
530	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island</u>	OJP	08/06/2019	3	Resolved	Work with RIOGT to determine if its state certifications for FY 2013-2017 were overstated, resulting in funds awarded in error, and, if so, to take the necessary steps to recover those funds.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
531	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island</u>	OJP	08/06/2019	4	Resolved	Ensure RIOGT implement and adhere to comprehensive, written policies and procedures for preparing annual certifications so that certifications are prepared accurately and supporting documentation is maintained to facilitate the review and audit of funds awarded.
532	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island</u>	OJP	08/06/2019	7	Resolved	Ensure RIOGT revise its Payment Processing and Grant Management Manual so that grant funds are tracked separately.
533	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island</u>	OJP	08/06/2019	8	Resolved	Remedy \$3,717 in unallowable grant expenditures.
534	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island</u>	OJP	08/06/2019	9	Resolved	Remedy \$12,218 in unsupported grant expenditures.
535	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	1	Resolved	Coordinate with the WI DOJ to determine the appropriateness of reexamining the WI DOJ subaward structure to ensure that VOCA funds are efficiently and effectively awarded to subrecipients.
536	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	6	Resolved	Remedy the \$19,162 of unsupported WI DOJ subrecipient expenditures.
537	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	7	Resolved	Remedy the \$1,256 of unallowable WI DOJ subrecipient lease costs that are in excess of a related party's cost of ownership.
538	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	9	Resolved	Remedy the \$95,599 of unsupported WI DOJ administrative expenditures.
539	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	11	Resolved	Determine the required match amounts for the WI DOJ subrecipients with both reduced award amounts and partial match waivers.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
540	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	12	Resolved	Ensure that the WI DOJ remedies any unmet subrecipient match amounts.
541	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	14	Resolved	Remedy the \$80,482 of unsupported WI DOJ subrecipient matching costs.
542	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	15	Resolved	Ensure the WI DOJ properly tracks and reports all program income generated with VOCA funds from any source.
543	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	16	Resolved	Ensure that the WI DOJ updates its monitoring policies for consistency and clarity with regard to the frequency of monitoring activities.
544	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	17	Resolved	Ensure that the WI DOJ conducts financial monitoring in a timely manner, with an emphasis on completing desk monitoring for those subrecipients that did not receive a desk review in the last subaward cycle.
545	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	18	Resolved	Ensure that the WI DOJ revises its approach to reviewing financial reports to provide reasonable assurance that subrecipient expenditures charged to the VOCA grant are supported and in compliance with grant requirements, including approved budgets.
546	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	19	Resolved	Ensure that the WI DOJ completes all performance monitoring activities for the subaward cycle ending in 2019.
547	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	20	Resolved	Ensure that the WI DOJ has adequate monitoring policies and procedures to provide assurance that subrecipients are reporting accurate performance information.
548	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	1	Resolved	Develop and implement its own written policy, consistent with existing departmental policies, to address how Southwest border agents should deconflict investigative targets and events and share relevant information with each other.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
549	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	2	Resolved	Ensure that each agency has a copy of the other's deconfliction policy and that all agents understand the expectations for interagency deconfliction and information sharing.
550	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	3	Resolved	Provide training to Southwest border Federal Bureau of Investigation and Homeland Security Investigations agents on the existing Department of Justice and Department of Homeland Security deconfliction policies and mandatory systems.
551	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	4	Resolved	Develop and implement a plan to increase awareness among Federal Bureau of Investigation and Homeland Security Investigations agents of each agency's mission, statutory authorities, and criminal investigative priorities.
552	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	5	Resolved	Develop a memorandum of understanding or similar written agreement governing Federal Bureau of Investigation and Homeland Security Investigations operations on overlapping criminal investigative areas.
553	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	1	Resolved	Examine States' spending data, comparatively analyze States' spending plans and program execution, assess the causes for any State implementation delays, and apply the results of this review to assist States in developing and executing future spending plans.
554	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	3	Resolved	Better define and provide clarification on the allowability of expenses that have generated questions, to include building modifications, subrecipient non-service or indirect costs, and contracting costs.
555	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	4	Resolved	Clarify to States the supporting requirements for CVF formula grant administrative costs, to include personnel costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
556	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	5	Resolved	Review the causes of the discrepancies in the certification form amounts and provide additional clarification to the States on the proper basis for completing this form.
557	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	6	Resolved	Provide additional guidance on the charging and certification of forensic exam payments with respect to the CVF compensation program, particularly for States that have additional sources of funding available for this purpose.
558	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	7	Resolved	Highlight the characteristics of well-supported payment amounts for the benefit of States in designing auditable compensation programs that adhere to federal and DOJ grant record-keeping requirements, and assist States in strengthening their policies and procedures where needed.
559	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	8	Resolved	Clarify the financial reporting expectations for the CVF compensation grants in particular, especially noting any areas in which these grants may be unique from other federal or DOJ awards.
560	<u>Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs</u>	OJP	07/29/2019	14	Resolved	Review its internal process for addressing CVF grant management questions, particularly with regard to financial management and the allowability of expenditures.
561	<u>Audit of the United States Marshals Service's Justice Prisoner and Alien Transportation System</u>	USMS	07/24/2019	3	Resolved	Continue to work with the Federal Bureau of Prisons to test its electronic movement packet system capabilities to communicate with JPATS on prisoner movement information.
562	<u>Procedural Reform Recommendation for the Department of Justice</u>	ODAG	07/02/2019	1	Resolved	The OIG recommends that the Department issue guidance regarding the circumstances under which it is permissible for an employee to accept an offer to purchase tickets from a third party at face value, when the offer is made to the employee solely because of the employee's official position, and is not also available to the general public.

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563	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana</u>	OJP	06/18/2019	4	Resolved	Remedy the \$81,982 in unsupported administrative costs for Grant Numbers 2014-VA-GX-0045 (\$11,889), 2015-VA-GX-0003 (\$26,226), and 2016-VA-GX-0074 (\$43,867).
564	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York</u>	OJP	06/12/2019	5	Resolved	Remedy \$23,377 in unsupported and unallowable travel costs.
565	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York</u>	OJP	06/12/2019	12	Resolved	Remedy \$43,764 in unsupported consultant and contract fees billed to the award.
566	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York</u>	OJP	06/12/2019	13	Resolved	Ensure Vera obtain, review, and maintain supporting documentation for actual contract expenses when advance payment is made to ensure compliance with regulations and award terms and conditions.
567	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York</u>	OJP	06/12/2019	14	Resolved	Remedy \$149,858 in unsupported contract costs.
568	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York</u>	OJP	06/12/2019	17	Resolved	Remedy \$50,770 of unsupported subrecipient personnel and fringe benefit costs.
569	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	USMS	06/11/2019	2	Resolved	Ensure the implementation and communication of adequate and clear policies that require both timely coordination with DHS and built-in redundancies to hold sponsoring agents accountable for ensuring that DHS is notified in a timely manner of all absconsions.
570	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	USMS	06/11/2019	3	Resolved	Develop processes to improve compliance with DHS's reporting requirements by providing information to DHS at the time sponsorship-related events occur.
571	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	USMS	06/11/2019	4	Resolved	Institute a more efficient process to resolve DHS's existing unresolved sponsorship matters and ensure that any future sponsorship matters needing resolution are addressed expeditiously.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
572	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	DEA	06/11/2019	5	Resolved	Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship.
573	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	FBI	06/11/2019	5	Resolved	Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship.
574	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	USMS	06/11/2019	5	Resolved	Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship.
575	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	USMS	06/11/2019	6	Resolved	Ensure that policies and practices fully satisfy the monitoring and supervision certifications made to DHS for all individuals sponsored for temporary residence in the United States.
576	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	DEA	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
577	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	FBI	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
578	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	USMS	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
579	<u>Audit of the Criminal Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	CRM	05/22/2019	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
580	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	1	Resolved	Ensure IIB adheres to policies and procedures that results in progress reports that can be efficiently verified and performance data being entered accurately into TIMS Online.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
581	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	2	Resolved	Ensure that IIB establishes and adheres to policies and procedures that will result in background checks being conducted on all award-related employees (including subgrantees, volunteers, and contractors) working directly with minors.
582	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	3	Resolved	Remedy the \$753,766 in unsupported questioned costs, including claimed award-funded expenditures (\$426,272) and matching expenditures (\$327,494).
583	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	5	Resolved	Remedy the \$37,564 in unsupported allocation of office occupancy costs.
584	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	6	Resolved	Remedy the \$7,200 in unsupported allocation of supplies costs.
585	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	7	Resolved	Remedy the \$5,207 in unsupported allocated costs of in-person independent interpreter services.
586	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	9	Resolved	Ensure IIB develops and implements written policies and adequately documents its subrecipient monitoring procedures to include reviewing subrecipient invoices.
587	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	10	Resolved	Remedy \$21,667 of unsupported subrecipient expenditures, through IIB demonstrating the application of its subrecipient monitoring procedures.
588	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	12	Resolved	Ensure IIB adhere to internal travel policies and procedures, which include preparing vouchers, for award-related travel expenditures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
589	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	13	Resolved	Remedy the reported amount of match expenditures, totaling \$416,667, and ensure that IIB establishes and implements policies and procedures that will adequately document the source, amount and timing of match expenditures.
590	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	15	Resolved	Ensure that IIB develop procedures for drawing down funds based on actual expenditure data in its financial management system. Any future process for drawing down funds should demonstrate IIB's compliance with cash management criteria.
591	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York</u>	OJP	05/08/2019	16	Resolved	Review the accuracy and revise as necessary the current or most recent FFR for each award in conjunction with resolving the financial management and expenditure findings. Additionally, OJP should ensure that IIB establish policies and procedures that ensure FFRs are prepared timely and accurately to report award-funded expenditures and match expenditures. Furthermore, based on the issues identified with the financial management system, any future process should include reconciling the expenditure (both award funded and in-kind) amounts reported to the revised financial management system.
592	<u>Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska</u>	OVW	04/29/2019	6	Resolved	Remedy the \$26,896 in unsupported transactions charged to the grant.
593	<u>Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska</u>	OVW	04/29/2019	7	Resolved	Remedy the \$5,786 in unallowable transactions charged to the grant.
594	<u>Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska</u>	OVW	04/29/2019	10	Resolved	Remedy the \$2,583 in unsupported payroll costs charged to the grant.
595	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	1	On Hold/Pending with OIG	Ensure there are appropriate logic controls for data that is manually input into Cyber Guardian and CyNERGY, and that CyNERGY's data input is as automated as appropriate.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
596	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	2	On Hold/Pending with OIG	Strengthen controls for ensuring victim notifications are tracked in Cyber Guardian, to include agents using "Victim Notification" leads in Sentinel as required by Cyber Division Policy Guide 0853PG.
597	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	3	On Hold/Pending with OIG	Ensure that agents index "Victims" in Sentinel as required by the Indexing User Manual for Sentinel to support FBI investigative and administrative matters.
598	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	4	On Hold/Pending with OIG	Ensure that all cyber victim notifications conducted in the course of restricted investigations are appropriately tracked in Cyber Guardian.
599	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	5	On Hold/Pending with OIG	Clearly define what constitutes a victim of cybercrime for the purposes of indexing victims in Sentinel and notifying victims of their rights under the Attorney General Guidelines for Victim and Witness Assistance, as appropriate.
600	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	6	On Hold/Pending with OIG	Ensure that all victims of cybercrime are informed of their rights under the Attorney General Guidelines for Victim and Witness Assistance, Crime Victims' Rights Act, and Victims' Rights and Restitution Act, as appropriate.
601	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	7	On Hold/Pending with OIG	Establish timeliness standards in the Cyber Division Policy Guide 0853PG for cyber victim notifications, as appropriate.
602	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	8	On Hold/Pending with OIG	Update Cyber Division Policy Guide 0853PG to include a minimum requirement for information that should be included in a victim notification and in victim notification leads, to ensure the consistency and effectiveness of victim notifications.
603	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	9	On Hold/Pending with OIG	Ensure Victim Contact Planning Calls are conducted for all cyber incidents that are labeled "Medium and above" on the National Security Council's Cyber Incidents Severity Schema.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
604	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	10	On Hold/Pending with OIG	Pursue a mutually agreeable solution with OHS for ensuring all victim notification data is entered into Cyber Guardian.
605	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	11	On Hold/Pending with OIG	Coordinate with NSA to identify and implement an automated solution to streamline the post-publication requests for unclassified information in order to conduct timely and useful victim notifications.
606	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	12	On Hold/Pending with OIG	Implement controls to ensure that all users of Cyber Guardian, and subsequently CyNERGY, are certified to handle Protected Critical Infrastructure Information.
607	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	ODAG	03/29/2019	13	On Hold/Pending with OIG	Coordinate with the FBI's Cyber Division and update, as necessary, the Attorney General Guidelines for Victim and Witness Assistance to incorporate the nuances of cyber victims.
608	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	1	On Hold/Pending with OIG	Establish a policy or directive sufficient to ensure that, if the DEA or the Department considers reinstating a version of [REDACTED] or [REDACTED], or initiating another "bulk collection" program by use of administrative subpoenas, the DEA, in consultation with relevant DOJ components (e.g., the Criminal Division and the OLC), conducts a rigorous, objective legal analysis, memorialized in writing, in advance of reinstating or initiating such "bulk collection" program by use of administrative subpoenas. The policy or directive should ensure that any such legal analysis specifically addresses whether 21 U.S.C. § 876(a) authorizes the issuance of subpoenas of the type contemplated (i.e., non-targeted, for exploratory or target-development purposes), as well as the permissible conditions under which such bulk data collected by non-targeted administrative subpoenas may be shared with other federal agencies for non-drug purposes.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
609	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
610	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
611	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
612	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
613	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
614	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
615	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	8	On Hold/Pending with OIG	Develop legally supportable criteria for retention of all [REDACTED] bulk data collected by use of administrative subpoenas, and policies for the disposition of such [REDACTED] bulk data.
616	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
617	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
618	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
619	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	12	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
620	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	ODAG	03/28/2019	13	On Hold/Pending with OIG	Ensure that a comprehensive review is conducted of the DEA's "parallel construction" policies and practices with respect to [REDACTED] investigative products to ensure that these policies and practices do not conflict with the government's discovery and disclosure obligations in criminal cases, or Department policy on this subject.
621	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	14	On Hold/Pending with OIG	In the interim, and subject to the results of Recommendation #13, the Department's and the DEA's guidance and training materials regarding "parallel construction," including SOD/ [REDACTED] investigative products and [REDACTED] investigative products, should be clarified to clearly state that "parallel construction" does not negate adherence to discovery and disclosure obligations in criminal cases, if applicable. These guidance and training materials should further make explicit that, if discovery requirements threaten disclosure of the program, prosecutors may seek to protect the program through appropriate process, such as protective orders or ex parte proceedings, and that, depending on the circumstances, the government may eventually be required to choose between disclosure or dismissal, but that "parallel construction" cannot be utilized as a substantive substitute for otherwise applicable discovery and disclosure requirements.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
622	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	15	On Hold/Pending with OIG	Review and update its delegations to ensure that Section 876(a) authority has been properly delegated to the officials who are reviewing and signing [REDACTED] subpoenas.
623	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	16	On Hold/Pending with OIG	Take steps to ensure that all changes to DEA policies, guidance, or procedures adopted as a result of implementing the foregoing recommendations are disseminated widely and readily available to DEA employees and other users of the programs, as appropriate [REDACTED]. All such changes should be incorporated into the DEA Agents Manual and periodic training provided to users of the relevant programs and to SOD and NS personnel, as appropriate.
624	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
625	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
626	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
627	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
628	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	14	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
629	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	19	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
630	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	20	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
631	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona</u>	OVW	03/25/2019	4	Resolved	Remedy the \$398 in unallowable costs related to noncompliance with award special conditions.
632	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona</u>	OVW	03/25/2019	5	Resolved	Remedy the \$131,532 in unallowable questioned costs related to the \$62,089 in unallowable personnel costs, \$47,436 in unallowable contractor and consultant costs, and \$22,007 in unallowable other direct costs.
633	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona</u>	OVW	03/25/2019	6	Resolved	Remedy the \$296,379 in unsupported questioned costs related to the \$275,989 in unsupported contractor and consultant costs, \$6,010 in unsupported other direct costs, and \$14,380 in unsupported excess drawdowns.
634	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona</u>	OVW	03/25/2019	7	Resolved	Coordinate with SWCLAP to develop policies and procedures requiring a contract or agreement for all contractors and consultants, as well as detailed invoices submitted by the contractors and consultants prior to payment for services.
635	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona</u>	OVW	03/25/2019	8	Resolved	Coordinate with SWCLAP to develop written policies and procedures to ensure that drawdowns are fully supported, funds are drawn down from the correct award, and drawdowns do not exceed expenditures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
636	<u>Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada</u>	OJP	03/20/2019	2	Resolved	Ensure that Washoe establishes and maintains an adequate accounting process that separately and accurately tracks all grant-related activities, including all expenses and required matching contributions, and documents its processes and procedures to ensure compliance with federal guidelines, accurate calculation of subgrant expenditures, and continuity of operations in the event of staff turnover or absences.
637	<u>Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada</u>	OJP	03/20/2019	4	Resolved	Remedy \$8,449 in unsupported questioned salary and fringe benefits costs.
638	<u>Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada</u>	OJP	03/20/2019	6	Resolved	Remedy \$128,988 in unsupported questioned costs reported as matching contributions.
639	<u>Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada</u>	OJP	03/20/2019	7	Resolved	Remedy \$4,890 in program income that Washoe received and inaccurately applied as a matching contribution.
640	<u>Audit of the Office of Justice Programs' Victim Assistance Grants Awarded to the State of Hawaii Department of the Attorney General, Honolulu, Hawaii</u>	OJP	03/18/2019	3	Resolved	Remedy \$112,309 in Victims of Crime Act (VOCA)-related subrecipient expenditures that was inadequately supported or unsupported.
641	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
642	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
643	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
644	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
645	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
646	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
647	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	2	Resolved	Ensure that CCSD notify families who participated in the WSB-GBG Program that their child or children's information was potentially compromised.
648	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	4	Resolved	Remedy the \$168,679 in unsupported reimbursed expenditures related to conductor payments.
649	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	1d	Resolved	Ensure that CCSD updates its Federal Funds Manual to incorporate appropriate internal controls and procedures to safeguard DOJ grant funds and to comply with DOJ requirements with regard to compliance with procurement and competitive bidding guidelines for all contracts associated with federal grants.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
650	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	1e	Resolved	Ensure that CCSD updates its Federal Funds Manual to incorporate appropriate internal controls and procedures to safeguard DOJ grant funds and to comply with DOJ requirements with regard to the preparation and submission of FFRs by appropriate CCSD personnel.
651	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3a	Resolved	Remedy the \$760,199 in unallowable reimbursed grant expenditures due to CCSD's lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.
652	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3b	Resolved	Remedy the \$33,743 in unallowable reimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish the grant objectives.
653	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3c	Resolved	Remedy the \$35,642 in unallowable reimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including an unapproved administrative support position, website development from an unapproved vendor, conductor trainings by unapproved vendors, background checks, consultant travel, and data plans for the tablet computers.
654	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3d	Resolved	Remedy the \$112,697 in unallowable expenditures incurred prior to the allowable period of performance.
655	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5a	Resolved	Put to better use the \$598,663 in unreimbursed CCSD expenditures that are unallowable due to lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
656	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5b	Resolved	Put to better use the \$9,863 in unreimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish grant objectives.
657	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5c	Resolved	Put to better use the \$16,291 in unreimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including conductor trainings by unapproved vendors, background checks, and data plans for the tablet computers.
658	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5d	Resolved	Put to better use the \$110,154 in not yet reimbursed expenditures related to conductor payments that were unsupported.
659	<u>Audit of Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs</u>	ODAG	03/13/2019	2	Resolved	Leverage and coordinate existing law enforcement tools, to the extent permissible by law, to facilitate screening that effectively mitigates the risk of improper individuals interacting with youth through DOJ programs.
660	<u>Audit of Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs</u>	ODAG	03/13/2019	6	Resolved	Implement policies and procedures to enhance its monitoring of grantee background screening processes for all DOJ awards that may involve direct contact with minors in order to ensure that grantees and subgrantees conduct a minimum level of due diligence for individuals in direct contact with minors under funded programs.
661	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	DEA	03/12/2019	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
662	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	DEA	03/12/2019	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
663	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	DEA	03/12/2019	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
664	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	DEA	03/12/2019	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
665	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	1	Resolved	Address the different needs of TFOs and determine appropriate vehicle options, considering each task force's operations, TFO safety, cost, and other relevant factors.
666	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	5	Resolved	Develop procedures to ensure all invoices are adequately reviewed, which includes a process to obtain supporting documentation and verify invoiced costs.
667	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	8	Resolved	Implement policies and procedures to review tolls incurred by TFOs to determine the appropriateness of tolls for official business or commuting.
668	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	9	Resolved	Implement policy regarding the use of express tolls and transponders.
669	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	11	Resolved	Remedy \$9,013 in unsupported costs related to third party damages.
670	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	18	Resolved	Develop policies or procedures to ensure proper contract oversight, such as requiring a review to verify compliance with contract terms prior to each option year being exercised.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
671	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	1	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi employees to submit a certified voucher or reconciliation after travel is complete to attest to the accuracy and validity of expenses incurred during work-related travel.
672	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	1	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi employees to submit a certified voucher or reconciliation after travel is complete to attest to the accuracy and validity of expenses incurred during work-related travel.
673	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	2	Resolved	Ensure that Wiconi implements policies and procedures to ensure that payment for expenses incurred by Wiconi are remitted in a reasonable timeframe in order to minimize the risk of incurring overdue penalties.
674	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	2	Resolved	Ensure that Wiconi implements policies and procedures to ensure that payment for expenses incurred by Wiconi are remitted in a reasonable timeframe in order to minimize the risk of incurring overdue penalties.
675	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	3	Resolved	Ensure that Wiconi enforce its existing policy related to the authorization of award expenditures, which includes: (1) ensuring that all disbursements are approved by a member of the Board of Director's who has reviewed the request; (2) ensuring all mileage and per diem reimbursements are completed by the employee requesting the reimbursement, and subsequently authorized by the Executive Director; and (3) ensuring that the proper official authorizes timesheets and requests for annual and sick leave.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
676	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	3	Resolved	Ensure that Wiconi enforce its existing policy related to the authorization of award expenditures, which includes: (1) ensuring that all disbursements are approved by a member of the Board of Director's who has reviewed the request; (2) ensuring all mileage and per diem reimbursements are completed by the employee requesting the reimbursement, and subsequently authorized by the Executive Director; and (3) ensuring that the proper official authorizes timesheets and requests for annual and sick leave.
677	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	4	Resolved	Ensure that Wiconi implements policies and procedures to ensure that all purchases are properly requested, authorized, and documented prior to when the purchase is initiated, including approving purchases involving credit cards, store accounts, and other credit accounts prior to purchase.
678	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	4	Resolved	Ensure that Wiconi implements policies and procedures to ensure that all purchases are properly requested, authorized, and documented prior to when the purchase is initiated, including approving purchases involving credit cards, store accounts, and other credit accounts prior to purchase.
679	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	5	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi to properly maintain property records, which should include an inventory log containing: (1) a description of the property; (2) a serial number or other identification number; (3) the source of the property; (4) the acquisition date; (5) the cost of the property; (6) the location of the property; and (7) the use and condition of the property. This policy should ensure that a physical inventory is completed once every two years, which further minimizes the risk of transposing equipment information when tracking items on an inventory log.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
680	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	5	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi to properly maintain property records, which should include an inventory log containing: (1) a description of the property; (2) a serial number or other identification number; (3) the source of the property; (4) the acquisition date; (5) the cost of the property; (6) the location of the property; and (7) the use and condition of the property. This policy should ensure that a physical inventory is completed once every two years, which further minimizes the risk of transposing equipment information when tracking items on an inventory log.
681	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	6	Resolved	Ensure that that Wiconi implements policies and procedures to ensure that procurement of accountable equipment or property is conducted in open, free, and fair competition, which includes ensuring that items purchased over \$5,000 are competitively bid with 3 quotations, as mandated by Wiconi's existing financial policies. This policy should ensure that the procurement process for equipment is adequately documented.
682	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	6	Resolved	Ensure that Wiconi implements policies and procedures to ensure that procurement of accountable equipment or property is conducted in open, free, and fair competition, which includes ensuring that items purchased over \$5,000 are competitively bid with 3 quotations, as mandated by Wiconi's existing financial policies. This policy should ensure that the procurement process for equipment is adequately documented.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
683	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	7	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately procures contract agreements in compliance with the procurement standards set forth in the Uniform Guidance, which should include a formal process for: (1) soliciting contracts that allows for open, free, and fair competition; (2) properly selecting and authorizing consultants and contractors; (3) verifying consultant and contractor performance; and (4) maintaining sufficient documentation to detail the history of the procurement.
684	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	7	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately procures contract agreements in compliance with the procurement standards set forth in the Uniform Guidance, which should include a formal process for: (1) soliciting contracts that allows for open, free, and fair competition; (2) properly selecting and authorizing consultants and contractors; (3) verifying consultant and contractor performance; and (4) maintaining sufficient documentation to detail the history of the procurement.
685	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	8	Resolved	Ensure that Wiconi implements policies and procedures that contain an adequate segregation of duties for expenses incurred, which ensures that the official that prepares a transaction request, including a time and effort report, is different than the official that authorizes the payment. Specific to soliciting contract agreements, these policies and procedures should ensure that no one person is responsible for executing the entire procurement transaction alone, which includes identifying a needed project, creating a project solicitation, receiving and reviewing bids, awarding the contract, reviewing the contractor's work, and paying the contractor.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
686	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	8	Resolved	Ensure that Wiconi implements policies and procedures that contain an adequate segregation of duties for expenses incurred, which ensures that the official that prepares a transaction request, including a time and effort report, is different than the official that authorizes the payment. Specific to soliciting contract agreements, these policies and procedures should ensure that no one person is responsible for executing the entire procurement transaction alone, which includes identifying a needed project, creating a project solicitation, receiving and reviewing bids, awarding the contract, reviewing the contractor's work, and paying the contractor.
687	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	9	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately administers contract agreements, which includes ensuring that: (1) contracts are properly signed by both parties who agree on the terms and conditions of the contract prior to when any services are rendered; and (2) ensuring that both parties agree to any contract modifications or amendments, and that those changes are adequately documented.
688	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	9	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately administers contract agreements, which includes ensuring that: (1) contracts are properly signed by both parties who agree on the terms and conditions of the contract prior to when any services are rendered; and (2) ensuring that both parties agree to any contract modifications or amendments, and that those changes are adequately documented.
689	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	10	Resolved	Ensure that Wiconi promptly discloses all real and apparent conflicts of interest in writing to the awarding agency under each of its federal awards, as well as the cognizant Federal audit agency, as mandated by the DOJ Grants Financial Guide.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
690	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	10	Resolved	Ensure that Wiconi promptly discloses all real and apparent conflicts of interest in writing to the awarding agency under each of its federal awards, as well as the cognizant Federal audit agency, as mandated by the DOJ Grants Financial Guide.
691	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	11	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately addresses conflicts of interest, both real and apparent, as they arise, which includes: (1) implementing a documented process to check for organizational conflicts of interest with potential contractors; (2) promptly reporting all potential conflicts of interest to the awarding agency and cognizant Federal audit agency, and/or proposed or actual actions regarding each irregularity; and (3) enhancing existing Wiconi policy to ensure that written standards of conduct covering conflict of interest and employee participation in selection, award, and administration of contracts is adequately followed.
692	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	11	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately addresses conflicts of interest, both real and apparent, as they arise, which includes: (1) implementing a documented process to check for organizational conflicts of interest with potential contractors; (2) promptly reporting all potential conflicts of interest to the awarding agency and cognizant Federal audit agency, and/or proposed or actual actions regarding each irregularity; and (3) enhancing existing Wiconi policy to ensure that written standards of conduct covering conflict of interest and employee participation in selection, award, and administration of contracts is adequately followed.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
693	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	12	Resolved	Ensure that Wiconi implements an effective system for adequately and reliably measuring program performance and accomplishments for all future awards for purposes other than shelter services. This includes award programs specific to children's mentoring and counseling.
694	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	12	Resolved	Ensure that Wiconi implements an effective system for adequately and reliably measuring program performance and accomplishments for all future awards for purposes other than shelter services. This includes award programs specific to children's mentoring and counseling.
695	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	13	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi maintains and verifies valid and auditable source documentation that supports performance measures reported in the semi-annual progress reports.
696	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	13	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi maintains and verifies valid and auditable source documentation that supports performance measures reported in the semi-annual progress reports.
697	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	14	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi complies with award special conditions.
698	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	14	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi complies with award special conditions.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
699	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	15	Resolved	Ensure that Wiconi implements policies and procedures to ensure that federal cash on hand is the minimum needed for disbursement to be made immediately or within 10 days, and, if the funds are not spent or disbursed within 10 days, Wiconi must return them to the awarding agency as required by the DOJ Grants Financial Guide.
700	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	15	Resolved	Ensure that Wiconi implements policies and procedures to ensure that federal cash on hand is the minimum needed for disbursement to be made immediately or within 10 days, and, if the funds are not spent or disbursed within 10 days, Wiconi must return them to the awarding agency as required by the DOJ Grants Financial Guide.
701	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	16	Resolved	Ensure that Wiconi implements policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds, including matching costs, according to project category in order to: (1) maintain program accounts that enable separate identification and accounting for funds applied to each budget category included within the approved award and to prevent the commingling of funds; (2) consistently classify expenses using a clear and precise methodology; (3) ensure lump sum payments to credit accounts are broken down by individual expense, receipt, or invoice; and (4) properly report the correct amount of expenditures on its Federal Financial Reports (FFRs).

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
702	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	16	Resolved	Ensure that Wiconi implements policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds, including matching costs, according to project category in order to: (1) maintain program accounts that enable separate identification and accounting for funds applied to each budget category included within the approved award and to prevent the commingling of funds; (2) consistently classify expenses using a clear and precise methodology; (3) ensure lump sum payments to credit accounts are broken down by individual expense, receipt, or invoice; and (4) properly report the correct amount of expenditures on its Federal Financial Reports (FFRs).
703	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	17	Resolved	Ensure that all payroll expenditures at Wiconi are supported by a system of internal controls that provide reasonable assurance that all payroll charges are accurate, reliable, allowable, and properly allocated. This system should ensure that any alterations to payroll records are properly authorized and reflect the actual time worked.
704	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	17	Resolved	Ensure that all payroll expenditures at Wiconi are supported by a system of internal controls that provide reasonable assurance that all payroll charges are accurate, reliable, allowable, and properly allocated. This system should ensure that any alterations to payroll records are properly authorized and reflect the actual time worked.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
705	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	18	Resolved	Ensure that Wiconi enforces its existing policy and award terms and conditions related to employee work schedules and benefits, which includes ensuring that: (1) Wiconi employees are held accountable to approved work schedules; (2) any hours worked in excess of a regular 40 hour work week is authorized by the appropriate officials; (3) all regularly scheduled holidays are recognized either on the actual holiday, or one day before or after the holiday if the employee is scheduled to work; (4) incentive awards are given only to those that are eligible; (5) employees who receive fringe benefits are working at least 35 hours per week, as mandated by existing policy; and (6) the proper official authorizes timesheets and requests for annual and sick leave.
706	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	18	Resolved	Ensure that Wiconi enforces its existing policy and award terms and conditions related to employee work schedules and benefits, which includes ensuring that: (1) Wiconi employees are held accountable to approved work schedules; (2) any hours worked in excess of a regular 40 hour work week is authorized by the appropriate officials; (3) all regularly scheduled holidays are recognized either on the actual holiday, or one day before or after the holiday if the employee is scheduled to work; (4) incentive awards are given only to those that are eligible; (5) employees who receive fringe benefits are working at least 35 hours per week, as mandated by existing policy; and (6) the proper official authorizes timesheets and requests for annual and sick leave.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
707	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	19	Resolved	Ensure that Wiconi implements policies and procedures to ensure that the accurate amount of annual and sick leave is allocated to each employee, which includes: (1) ensuring that employees only accrue leave based on their regularly scheduled hours; (2) ensuring that all scheduled leave is deducted from each respective employee's balance of leave hours; and (3) ensuring that any administrative leave taken due to an emergency or inclement weather is approved by the appropriate official.
708	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	19	Resolved	Ensure that Wiconi implements policies and procedures to ensure that the accurate amount of annual and sick leave is allocated to each employee, which includes: (1) ensuring that employees only accrue leave based on their regularly scheduled hours; (2) ensuring that all scheduled leave is deducted from each respective employee's balance of leave hours; and (3) ensuring that any administrative leave taken due to an emergency or inclement weather is approved by the appropriate official.
709	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	20	Resolved	Ensure that Wiconi revise its current policy related to holiday, bereavement, and wellness leave to only include leave that is reasonable and justified.
710	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	20	Resolved	Ensure that Wiconi revise its current policy related to holiday, bereavement, and wellness leave to only include leave that is reasonable and justified.
711	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	21	Resolved	Ensure that Wiconi completes required single audits in compliance with 2 C.F.R. 200, or the current Uniform Guidance.

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712	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	21	Resolved	Ensure that Wiconi completes required single audits in compliance with 2 C.F.R. 200, or the current Uniform Guidance.
713	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	22	Resolved	Remedy \$18,754 in unallowable personnel and fringe benefit costs associated with employees that are not listed in the approved award budget.
714	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	23	Resolved	Remedy \$38,084 in unallowable personnel and fringe benefit costs that were incorrectly allocated to the award.
715	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	24	Resolved	Remedy \$7,523 in additional unallowable fringe benefit costs resulting from expenses not allowed by federal award conditions and that were double billed to the award ledgers.
716	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	25	Resolved	Remedy \$17,665 in unallowable consulting costs that were not listed in the approved award budget.
717	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	26	Resolved	Remedy \$40,168 in unallowable consulting costs that are considered unreasonable or excessive.
718	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	27	Resolved	Remedy \$41,086 in unallowable consulting costs that were paid prior to when the consulting services were rendered (i.e. retainer fees).
719	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	28	Resolved	Remedy \$10,173 in unallowable consulting costs that were erroneously charged to the award.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
720	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	29	Resolved	Remedy \$1,444 in unallowable consulting costs that were charged to the incorrect award.
721	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	30	Resolved	Remedy \$80,396 in unallowable matching expenditures that were not listed in the approved award budget.
722	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	31	Resolved	Remedy \$36,294 in unallowable other direct costs that were not listed in the approved award budget.
723	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	32	Resolved	Remedy \$28,607 in unallowable other direct costs that are considered unreasonable or excessive.
724	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	33	Resolved	Remedy \$7,546 in unallowable other direct costs that were charged to the incorrect award.
725	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	34	Resolved	Remedy \$15,839 in unallowable other direct costs that were erroneously charged to the award.
726	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	35	Resolved	Remedy \$4,435 in unallowable other direct costs that were double charged to the award.
727	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	36	Resolved	Remedy \$3,438 in unallowable expenses incurred prior to OJP's review and approval of Wiconi's budget and budget narrative.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
728	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	37	Resolved	Remedy \$16,514 in unallowable costs resulting from transfers between budget categories exceeding 10 percent of the total award under Award Number 2013-VR-GX-K025.
729	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	38	Resolved	Remedy \$15,560 in unallowable excess drawdowns under Award Number 2013-VI-GX-K008.
730	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	40	Resolved	Remedy \$158,552 in unsupported consulting costs.
731	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	41	Resolved	Remedy \$920 in unsupported equipment costs resulting from purchases that do not contribute towards the completion of award goals and objectives.
732	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	42	Resolved	Remedy \$86,538 in unsupported matching expenditures.
733	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	43	Resolved	Remedy \$55,514 in unsupported other direct costs.
734	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	44	Resolved	Remedy \$82,251 in unsupported expenditures purchased using a credit account, which are a result of: (1) unauthorized purchases; (2) limited assurance that expenses are properly allocated to the appropriate award; (3) insufficient detail in the accounting records to properly track and monitor expenses; and (4) purchases for purposes unassociated with award activities.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
735	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	45	Resolved	Remedy unsupported drawdowns totaling \$450,000 under Award Number 2013-VR-GX-K025 and \$442,245 under Award Number 2013-VI-GX-K008 resulting from the extensive mismanagement of award funds, as well as the limited supportable progress towards the completion of award goals and objectives.
736	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	46	Resolved	Remedy and put to better use the remaining \$429,619 in funds obligated against Award Number 2014-XV-BX-K029, as this funding does not further support the original award goals and objectives.
737	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	48	Resolved	Further review the allowability of expenditures associated with the final drawdown of \$28,102 under Award Number 2013-VI-GX-K008.
738	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	49	Resolved	Ensure that Wiconi implements policies and procedures to ensure that any event broadly defined as a conference is properly administered based on the guidance set forth in the DOJ Grants Financial Guide.
739	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	50	Resolved	Ensure that Wiconi implements policies and procedures to ensure that all requests for matching contributions are properly authorized, which includes requiring that all matching requests are approved by a member of the Board of Director's who has reviewed the request.
740	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	51	Resolved	Ensure that Wiconi implements policies and procedures to ensure the proper accounting of matching contributions, which includes maintaining a general ledger that clearly shows the source, amount, and timing of these expenditures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
741	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	52	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi retains qualified individuals under each award program, which includes maintaining adequate qualifications for each individual, such as resumes, background checks, or letters of reference.
742	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	53	Resolved	Provide additional oversight and monitoring of Wiconi to ensure that the award goals and objectives are adequately achieved under Award Number 2015 VT BX-K059 and Award Number 2016-VR-GX-K014, which includes potentially reviewing and revising the award goals and objectives for Award Number 2016-VR-GX-K014.
743	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	54	Resolved	Remedy \$11,205 in unallowable personnel and fringe benefit costs associated with employees that are not listed in the approved award budget.
744	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	55	Resolved	Remedy \$30,619 in unallowable personnel and fringe benefit costs that were incorrectly allocated to the award.
745	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	56	Resolved	Remedy \$27,168 in additional unallowable fringe benefit costs resulting from expenses that are not listed in the approved award budget and are double billed to the award ledger.
746	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	57	Resolved	Remedy \$10,636 in unallowable consulting costs that were not listed in the approved award budget.
747	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	58	Resolved	Remedy \$7,129 in unallowable consulting costs that were paid prior to when the consulting services were rendered (i.e. retainer fees).

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
748	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	59	Resolved	Remedy \$727 in unallowable consulting costs resulting from costs that were erroneously charged to the award.
749	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	60	Resolved	Remedy \$7,220 in unallowable equipment costs that were not listed in the approved award budget.
750	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	61	Resolved	Remedy \$24,974 in unallowable other direct costs that were not listed in the approved award budget.
751	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	62	Resolved	Remedy \$4,668 in unallowable other direct costs that are considered unreasonable or excessive.
752	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	63	Resolved	Remedy \$6,376 in unallowable other direct costs that were charged to the incorrect award.
753	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	64	Resolved	Remedy \$10,640 in unallowable other direct costs that were erroneously charged to the award.
754	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	65	Resolved	Remedy \$1,753 in unallowable other direct costs that were double charged to the award.
755	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	66	Resolved	Remedy \$4,061 in unallowable expenditures incurred after the award closeout date under Award Number 2013-CY-AX-K020.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
756	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	69	Resolved	Remedy \$24,796 in unsupported consulting costs.
757	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	70	Resolved	Remedy \$34,833 in unsupported equipment expenditures.
758	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	71	Resolved	Remedy \$99,645 in unsupported other direct costs.
759	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	72	Resolved	Remedy \$54,880 in unsupported expenditures purchased using a credit account, which are a result of: (1) unauthorized purchases; (2) limited assurance that expenses are properly allocated to the appropriate award; (3) insufficient detail in the accounting records to properly track and monitor expenses; and (4) purchases for purposes unassociated with award activities.
760	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	73	Resolved	Remedy \$255,474 in unsupported drawdowns under Award Number 2013 CY AX-K020 resulting from extensive mismanagement of award funds, as well as limited supportable progress towards the completion of award goals and objectives.
761	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	74	Resolved	Remedy \$712,224 in unsupported drawdowns resulting from: (1) extensive mismanagement of award funds; (2) limited supportable progress towards the completion of award goals and objectives; and (3) a duplication of DOJ funding for similar costs and award goals and objectives. This includes \$454,000 under Award Number 2013-TW-AX-0016, \$80,409 under Award Number 2014-KT-AX-0007, \$105,615 under Award Number 2015-WR-AX-0031, and \$72,200 under Award Number 2016-TW-AX-0024.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
762	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	75	Resolved	Remedy and put to better use \$1,216,775 in award funds duplicative of existing DOJ funding for similar costs and award objectives, which includes the remaining \$244,591 in funds obligated against Award Number 2014-KT-AX-0007, the \$444,385 in funds obligated against Award Number 2015-WR-AX-0031, and \$527,800 in funds obligated against Award Number 2016-TW-AX-0024.
763	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	76	Resolved	Remedy and put to better use the remaining \$89,013 in funds obligated against Award Number 2013-CY-AX-K020, which has expired but has not yet been closed.
764	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	77	Resolved	Provide additional monitoring and oversight of Wiconi to ensure that the award goals and objectives are adequately met under Award Number 2016-WH-AX-0022.
765	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	78	Resolved	Ensure that Wiconi implements policies and procedures to ensure that any donations or other sources of revenue related to its projects are adequately tracked and accounted for, which includes establishing and maintaining program accounts that enable separate identification and accounting for receipt and disposition of all funds.
766	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	39a	Resolved	Remedy \$680,207 in unsupported personnel and fringe benefit costs resulting from unreliable payroll records.
767	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	39b	Resolved	Remedy \$86,096 in unsupported personnel and fringe benefit costs resulting from costs with missing or invalid supporting documentation.
768	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	67a	Resolved	Remedy \$11,805 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2012-TW-AX-0016.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
769	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	67b	Resolved	Remedy \$15,844 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2013-TW-AX-0016.
770	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	68a	Resolved	Remedy \$1,214,404 in unsupported personnel and fringe benefit costs resulting from unreliable payroll records.
771	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	68b	Resolved	Remedy \$38,961 in unsupported personnel and fringe benefit costs resulting from costs with missing or invalid supporting documentation.
772	<u>Audit of the Office on Violence Against Women Grants Awarded to the South Dakota Network Against Family Violence and Sexual Assault, Sioux Falls, South Dakota</u>	OVW	03/05/2019	3	Resolved	Remedy \$3,361 in unallowable insurance expenditures not approved in the award budgets.
773	<u>Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.</u>	OJP	02/26/2019	2	Resolved	Require that Amara, working with its subrecipient as applicable, correct previous progress report discrepancies with regard to the: a. number of clients it served, b. amount and type of services it provided to clients, c. number of training events and attendees it hosted, d. type of outreach performed, and e. referral sources for client cases.
774	<u>Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.</u>	OJP	02/26/2019	7	Resolved	Remedy \$7,104 in unallowable fundraising charges to the grant.
775	<u>Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.</u>	OJP	02/26/2019	8	Resolved	Require that Amara works with its subrecipient to ensure its financial management system accurately accounts for the Office for Victims of Crime (OVC) funds and subgrant-related activity.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
776	<u>Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.</u>	OJP	02/26/2019	9	Resolved	Remedy a total of \$56,970 unsupported subrecipient charges, which include \$41,770 in tested salary costs and \$15,200 in rent expenses paid through June 2018.
777	<u>Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.</u>	OJP	02/26/2019	10	Resolved	Require that Amara: (a) Implements procedures to account for matching costs accurately under the grant; and (b) Provides adequate support to demonstrate that it has met its match requirements by the end of the award period.
778	<u>Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.</u>	OJP	02/26/2019	11	Resolved	Require that Amara improves its policies and procedures so that drawdown requests accurately reflect actual charges incurred by the grant.
779	<u>Review of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Implementation of the Frontline Initiative</u>	ATF	02/14/2019	1	Resolved	Update and maintain the Intelligence Program Order and Crime Gun Intelligence Center mission guidance to reflect current intelligence functions and structure, and ensure staff accountability for all standards, including referral responsiveness.
780	<u>Review of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Implementation of the Frontline Initiative</u>	ATF	02/14/2019	2	Resolved	Define and develop performance metrics to assess Frontline-driven operational changes and document the work performed and results of external law enforcement outreach and communication efforts.
781	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	1	Resolved	Maintain a system for tracking employee misconduct matters.
782	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	2	Resolved	Develop timeliness standards for completing adverse action proposals and decisions.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
783	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	3	Resolved	Ensure that any settlement agreement entered into by the USMS in adverse personnel action matters adequately accounts for the seriousness of the substantiated employee misconduct, includes a tangible element of employee accountability, and is approved at a level of leadership commensurate with the employee's position, the nature of the substantiated misconduct, and the initially proposed penalty.
784	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	4	Resolved	Develop and implement a "terminal leave" policy that provides that under no circumstances shall a proposed or final determination on a personnel action, whether based on deficient performance or misconduct, be delayed or not taken so that an employee can accumulate service time, whether by remaining on duty, while on administrative leave, while on leave without pay, or while on annual leave, in order to attain eligibility for benefits, including but not limited to retirement benefits.
785	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	7	Resolved	Develop and implement guidance to prevent unnecessary or inappropriate use of the DOJ maximum consultant rate.
786	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	10	Resolved	Develop and implement policies to ensure that all content produced under the TA Initiative is made available to the recipient community, and the public, as appropriate.
787	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	12	Resolved	Develop and implement policies to ensure that resources funded by the TA Initiative are effectively catalogued for future use.
788	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania</u>	OJP	02/12/2019	2	Resolved	Ensure Esperanza creates and implements written policy requiring time and effort reports for consulting services to ensure consultant invoices are supported in accordance with the DOJ Grants Financial Guide.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
789	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania</u>	OJP	02/12/2019	1a	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 for consultant charges not supported with time and effort reports, as required.
790	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania</u>	OJP	02/12/2019	1b	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 in charges for consultants who Esperanza could not support were paid reasonable rates, as required.
791	<u>Procedural Reform Recommendation for the Federal Bureau of Investigation</u>	FBI	02/12/2019	1	Resolved	Amend the existing FBI Policy Directive to formally designate an entity to be responsible for text message collection and retention.
792	<u>Procedural Reform Recommendation for the Federal Bureau of Investigation</u>	FBI	02/12/2019	3	Resolved	Conduct additional research and testing, or seek by other means, prior to procurement of any new collection tool to be used by the FBI to collect and preserve text messages sent to and from FBI-issued devices, with a goal of 100 percent text message collection and preservation, to the extent technically feasible.
793	<u>Procedural Reform Recommendation for the Federal Bureau of Investigation</u>	FBI	02/12/2019	5	Resolved	Verify and address the security vulnerabilities identified by the Subject Matter Expert with whom the OIG consulted, which have been provided to the FBI. Current and future mobile devices and data collection and preservation tools should be tested for security vulnerabilities in order to ensure the security of the devices and the safekeeping of the sensitive data therein.
794	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	OJP	12/18/2018	4	Resolved	Conduct a study on data collected under the Death in Custody Reporting Act of 2013 as described in the statute and submit a report on the study to Congress as soon as practicable.
795	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	FBI	12/18/2018	3a	Resolved	Work together with the Office of Justice Programs (OJP) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
796	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	OJP	12/18/2018	3b	Resolved	Work together with the Federal Bureau of Investigation (FBI) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.
797	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2018</u>	ALLDOJ	12/17/2018	4	On Hold/Pending with OIG	Enhance the DOJ's level of supervisory review over journal entries, with an emphasis on a more robust review of underlying data and the general ledger accounts affected by the journal entry.
798	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention</u>	OJP	09/26/2018	3	Resolved	Remedy \$9,530 in unallowable duplicate personnel costs for the Philadelphia Fire Department.
799	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention</u>	OJP	09/26/2018	7	Resolved	Remedy \$349,232 in unallowable subrecipient sole source contract expenditures executed by the subrecipient Host Committee.
800	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention</u>	OJP	09/26/2018	8	Resolved	Remedy \$61,500 in unallowable subrecipient expenditures for a contract executed by the subrecipient Host Committee.
801	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention</u>	OJP	09/26/2018	9	Resolved	Remedy \$5,964,797 in unallowable subrecipient expenditures for contracts executed by the subrecipient Host Committee.
802	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention</u>	OJP	09/26/2018	11	Resolved	Ensures Philadelphia develops policies and procedures to ensure its budget is maintained properly, in compliance with terms and conditions of the award, and award funds are adequately safeguarded.
803	<u>Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention</u>	OJP	09/26/2018	12	Resolved	Ensures Philadelphia develops and implements written policies and procedures for drawdown requests to ensure federal cash on hand complies with the DOJ Grants Financial Guide.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
804	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	1	Resolved	Remedy \$633,667 under Grant Number 2012-HH-WX-0012 and \$318,068 under Grant Number 2014-HH-WX-0014 in grant drawdowns during periods where there were indications of supplanting.
805	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	3	Resolved	Remedy \$2,399 in unallowable personnel and associated fringe related to officers that were not part of the approved budget under Grant Number 2012-HH-WX-0012.
806	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	11	Resolved	Coordinate with the Blackfeet Tribe to: (1) obtain an electronic timekeeping system; (2) update the payroll system to properly account for Tribal Holiday hours, overtime, and approved pay rates; (3) train law enforcement supervisors on proper authorization techniques; and (4) train Compliance Monitors to properly allocate unallowable overtime to the appropriate funding source.
807	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	14	Resolved	Remedy \$180,348 in unsupported grant expenditures under Grant Number 2014-XV-BX-K031 regarding lack of support for program accomplishments from November 2014 through July 2016.
808	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	17	Resolved	Remedy \$1,808 in unsupported personnel and fringe costs under Grant Number 2014-XV-BX-K031.
809	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	20	Resolved	Remedy \$3,050 in unallowable equipment costs that were not in budget, scope, or both under Grant Number 2014-TY-FX-0008.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
810	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	27	Resolved	Remedy \$30,541 in unallowable cost categories that were not part of the approved budget.
811	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	13a	Resolved	Remedy \$23,934 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-AX-BX-0022 from February 2016 through November 13, 2016.
812	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	13b	Resolved	Remedy \$168,415 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-TY-FX-0008, from February 2015 through October 2, 2016.
813	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	15a	Resolved	Remedy \$148,787 in unallowable grant expenditures that occurred before related special conditions were removed under Grant Number 2014-TY-FX-0008.
814	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	15b	Resolved	Remedy \$26,057 in unallowable grant expenditures that occurred before the related special conditions were removed under Grant Number 2014-XV-BX-K031.
815	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16a	Resolved	Remedy \$5,883 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-AC-BX-0022.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
816	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16b	Resolved	Remedy \$6,764 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-TY-FX-0008.
817	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16c	Resolved	Remedy \$1,642 in unsupported personnel and associated fringe costs related to the lack of time and effort report under Grant Number 2014-XV-BX-K031.
818	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	18a	Resolved	Remedy \$7,072 in unallowable travel costs under Grant Number 2014-AC-BX-0022.
819	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	18b	Resolved	Remedy \$7,124 in unallowable travel costs under Grant Number 2014-TY-FX-0008.
820	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	18c	Resolved	Remedy \$4,262 in unallowable travel costs under Grant Number 2014-XV-BX-K031.
821	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19a	Resolved	Remedy \$2,964 in unsupported travel costs under Grant Number 2014-AC-BX-0022.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
822	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19b	Resolved	Remedy \$2,880 in unsupported travel costs under Grant Number 2014-TY-FX-0008.
823	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19c	Resolved	Remedy \$490 in unsupported travel costs under Grant Number 2014-XV-BX-K031.
824	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	21a	Resolved	Remedy \$1,199 in unallowable supply costs under Grant Number 2014-AC-BX-0022.
825	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	21b	Resolved	Remedy \$21,143 in unallowable supply costs under Grant Number 2014-TY-FX-0008.
826	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	21c	Resolved	Remedy \$9,278 in unallowable supply costs under Grant Number 2014-XV-BX-K031.
827	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	22a	Resolved	Remedy \$375 in unsupported supply costs under Grant Number 2014-TY-FX-0008.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
828	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	22b	Resolved	Remedy \$652 in unsupported supply costs under Grant Number 2014-XV-BX-K031.
829	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	23a	Resolved	Remedy \$11,190 in unallowable contract and consultant costs under Grant Number 2014-TY-FX-0008.
830	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	23b	Resolved	Remedy \$4,172 in unallowable contractor and consultant costs under Grant Number 2014-XV-BX-K031
831	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	24a	Resolved	Remedy \$5,365 in unsupported contractor and consultant costs under Grant Number 2014-TY-FX-0008.
832	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	24b	Resolved	Remedy \$1,625 in unsupported contractor and consultant costs under Grant Number 2014-XV-BX-K031.
833	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	25a	Resolved	Remedy \$362 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-AC-BX-0022.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
834	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	25b	Resolved	Remedy \$2,233 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-TY-FX-0008.
835	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	25c	Resolved	Remedy \$3,632 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-XV-BX-K031.
836	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	26a	Resolved	Remedy \$100 in unsupported other direct costs under Grant Number 2014-TY-FX-0008.
837	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	26b	Resolved	Remedy \$588 in unsupported other direct costs under Grant Number 2014-XV-BX-K031.
838	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	2a	Resolved	Remedy \$50,739 in unallowable personnel and fringe related to overtime and pay rates in excess of the entry-level rates under Grant Number 2012-HH-WX-0012.
839	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	2b	Resolved	Remedy \$20,680 in unallowable personnel and associated fringe related to overtime and pay rates in excess of entry-level rates under Grant Number 2014-HH-WX-0014.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
840	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	4a	Resolved	Remedy \$6,115 in unsupported personnel and associated fringe under Grant Number 2012-HH-WX-0012.
841	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	4b	Resolved	Remedy \$2,661 in unsupported personnel and associated fringe under Grant Number 2014-HH-WX-0014.
842	<u>Audit of the Office on Violence Against Women Grants Awarded to the Nebraska Domestic Violence Sexual Assault Coalition, dba Nebraska Coalition to End Sexual and Domestic Violence, Lincoln, Nebraska</u>	OVW	09/21/2018	3	Resolved	Remedy the remaining \$13,211 of the original \$13,620 in unallowable questioned costs related to the \$2,446 in unallowable over allocated and misallocated personnel costs, \$4,547 in unallowable contractor and consultant costs, and \$6,627 in unallowable other costs.
843	<u>Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California</u>	BOP	09/21/2018	1	Resolved	Develop measurable performance metrics for its CTS program, and consider performing an evaluation of the CTS program to identify its effectiveness and outcomes.
844	<u>Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California</u>	BOP	09/21/2018	8	Resolved	Develop and implement a strategic plan for transitioning to an electronic system that would allow for electronic submission of contractor invoices and clinical documents.
845	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut</u>	BOP	09/18/2018	1	Resolved	Develop a facility design standard for Federal Satellite Low (FSL) facilities and pre-conversion procedures that assess whether a minimum security facility can feasibly sustain an FSL conversion.
846	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut</u>	BOP	09/18/2018	4	Resolved	Establish policies and procedures to ensure that interim performance assessment reports for its construction contracts are entered into the Contractor Performance Assessment Reporting System.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
847	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut</u>	BOP	09/18/2018	5	Resolved	Consult the Justice Management Division to determine the best approach to ensure compliance with FAR 15.404-4 and take appropriate action, to include establishing or adopting from another agency a structured approach and examining profit for contract actions requiring cost analysis, as applicable.
848	<u>Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population</u>	BOP	09/18/2018	3	Resolved	Ensure that all officials who enter into National Executive Staff positions have taken appropriate, current training specific to the unique needs of female inmates and trauma-informed <u>correctional care</u> .
849	<u>Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population</u>	BOP	09/18/2018	7	Resolved	Clarify guidance on the distribution of feminine hygiene products to ensure sufficient access to the amount of products inmates need free of charge.
850	<u>Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population</u>	BOP	09/18/2018	9	Resolved	Establish policy that determines how long sentenced inmates can be confined in a detention center, or ensures that the conditions of confinement and inmate programming at a detention center more closely approximate those of a non-detention center when sentenced inmates are housed there.
851	<u>Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population</u>	BOP	09/18/2018	10	Resolved	Explore options to procure female Special Housing Unit space closer to Federal Correctional Institution Danbury.
852	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	1a	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
853	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	DEA	06/25/2018	1b	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
854	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	1c	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
855	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	1d	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
856	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	2a	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
857	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	DEA	06/25/2018	2b	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
858	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	2c	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
859	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	2d	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
860	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	3a	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
861	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	DEA	06/25/2018	3b	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
862	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	3c	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
863	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	3d	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
864	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	4a	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
865	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	DEA	06/25/2018	4b	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
866	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	4c	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
867	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	4d	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
868	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	5a	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
869	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	5c	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
870	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	5d	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
871	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	6a	Resolved	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.
872	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	DEA	06/25/2018	6b	Resolved	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.
873	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	2	Resolved	Consider making explicit that, except in situations where the law requires or permits disclosure, an investigating agency cannot publicly announce its recommended charging decision prior to consulting with the Attorney General, Deputy Attorney General, U.S. Attorney, or his or her designee, and cannot proceed without the approval of one of these officials.
874	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	4	Resolved	Consider providing guidance to agents and prosecutors concerning the taking of overt investigative steps, indictments, public announcements, or other actions that could impact an election.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
875	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	5	Resolved	Take steps to improve the retention and monitoring of text messages Department-wide
876	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	9	Resolved	Department ethics officials include the review of campaign donations for possible conflict issues when Department employees or their spouses run for public office.
877	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	1a	Resolved	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.
878	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	FBI	06/14/2018	1b	Resolved	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.
879	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	3a	Resolved	Consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.
880	<u>Audit of the Justice Management Division's Automated Electronic Guard Information System Upgrade Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	JMD	04/04/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
881	<u>Audit of the United States Marshals Service's Property and Asset Control Enterprise Solution Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	USMS	03/30/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
882	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	USMS	03/30/2018	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
883	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives</u>	ATF	03/30/2018	9	Resolved	Establish guidelines for physically securing seized weapons and ammunition that are temporarily stored outside of the evidence vault during times when the vault custodians are unavailable.
884	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives</u>	ATF	03/30/2018	10	Resolved	Ensure that seized ammunition is tracked in N-Force Vault and secured in the evidence vault until it is shipped to the disposal facility.
885	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
886	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
887	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
888	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
889	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
890	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
891	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
892	<u>Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	03/23/2018	11	Resolved	Remedy \$1,870,566 in VOCA-related subrecipient unsupported questioned costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
893	<u>Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	03/23/2018	13	Resolved	Remedy \$231,004 in unsupported questioned costs associated with administrative expenditures that were inadequately supported.
894	<u>Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	03/23/2018	15	Resolved	Remedy \$6,379 in unsupported questioned costs associated with match transactions.
895	<u>Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	03/23/2018	20	Resolved	Remedy \$97,905 in unsupported subrecipient questioned costs.
896	<u>Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri</u>	OJP	03/23/2018	7	Resolved	Ensure that the Missouri state administering agency has a compliant method for allocating annual leave payouts.
897	<u>Audit of the Department of Justice Grant Award Closeout Process</u>	OVW	03/12/2018	48	Resolved	Remedy \$4,579 in unallowable travel-related questioned costs associated with OVW Award Number 2013-TA-AX-K016.
898	<u>Audit of the Federal Bureau of Investigation's DirectorNet System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
899	<u>Audit of the Federal Bureau of Investigation's DirectorNet System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
900	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
901	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
902	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
903	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
904	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
905	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	14	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
906	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	21	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
907	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	22	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
908	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	24	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
909	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	27	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
910	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	28	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
911	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	29	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
912	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	30	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
913	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	33	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
914	<u>Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments</u>	ODAG	02/13/2018	14	Resolved	Clarify the circumstances in which a component is responsible for designating an incident to be "high-profile" under the March 2016 guidance.
915	<u>Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments</u>	ODAG	02/13/2018	15	Resolved	Develop procedures detailing the circumstances when notification and coordination with the relevant U.S. Attorney's Office is appropriate in jurisdictions where technical assistance will be provided by the OJP, COPS Office, or CRS.
916	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	01/25/2018	1	Resolved	Take steps to ensure that its contractors are aware of the whistleblower protections that federal law provides for employees for Federal contractors.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
917	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	01/25/2018	2	Resolved	Take steps to ensure that its contractors take appropriate actions to conform their internal policies to comply with federal law.
918	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	01/25/2018	3	Resolved	Examine its contracts to ensure that the contracts include no terms that are inconsistent with federal whistleblower protections.
919	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	2	Resolved	Ensure Hudson implement and adhere to policies and procedures to ensure program performance is monitored and records are maintained to demonstrate accomplishments towards achieving award goals and objectives.
920	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	3	Resolved	Ensure Hudson implement and adhere to written policies and procedures to ensure progress reports are accurate and reported data is valid and reliable.
921	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	5	Resolved	Ensure Hudson implement and adhere to written payroll policies and procedures to ensure personnel expenditures are supported by timesheets or periodic certifications.
922	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	6	Resolved	Remedy \$231,584 in unsupported contract expenditures.
923	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	7	Resolved	Ensure Hudson revise its written purchasing procedures to ensure grant funded expenditures are made in compliance with applicable laws, regulations, and the DOJ Grants Financial Guide.
924	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	8	Resolved	Remedy \$2,709,835 in unsupported match expenditures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
925	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	11	Resolved	Ensure Hudson develops and implements written policies and procedures for drawdown requests to ensure federal cash on hand is kept at or near zero.
926	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	12	Resolved	Remedy \$258,798 in unsupported drawdowns.
927	<u>Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey</u>	OJP	01/24/2018	13	Resolved	Ensure Hudson implement and adhere to written policies and procedure to ensure FFRs are prepared accurately and time.
928	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	2	Resolved	Ensure that the adjudication vendor is able to reproduce on demand all necessary data elements used to adjudicate the claims (e.g., DRG, all procedure codes, and drug information). The universe of claims data should be available to BOP on a national scale in a format that allows for thorough analysis and oversight regardless of institution.
929	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1a	Resolved	Move immediately to require all CMS contractors to submit electronic claims.
930	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1b	Resolved	Ensure those claims are properly analyzed and maintained by BOP's adjudication vendor.
931	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1c	Resolved	Enforce existing contract language that requires the adjudication vendor to perform fraud analytics and report any indicators of fraud to the BOP.
932	<u>Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010</u>	ODAG	12/14/2017	1	Resolved	Update the 2010 policy memoranda to U.S. Attorneys and heads of components to incorporate Tribal Law and Order Act mandates.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
933	<u>Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010</u>	FBI	12/14/2017	10b	Resolved	Track all training provided to the Bureau of Indian Affairs and tribal law enforcement, including ad hoc training that Special Agents provide, and develop procedures to incorporate this information in planning future training.
934	<u>Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010</u>	FBI	12/14/2017	13b	Resolved	Analyze available data to help to identify resource, program, or potential training and law enforcement needs.
935	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	2	On Hold/Pending with OIG	Ensure all applicable components are aware of and are following the reporting timelines for the Federal Procurement Data System as required by the Federal Acquisition Regulations for procurement awards, and the newly established Financial Assistance Broker System for financial assistance awards.
936	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	3	On Hold/Pending with OIG	Ensure all accounting entry corrections are addressed prior to submission of file B.
937	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	6	On Hold/Pending with OIG	Review all validation warnings generated by the DATA Act broker system prior to submission and Senior Accountable Official certification, to ensure that the data submitted is accurate, and in compliance with the DAIMS instructions.
938	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	7	On Hold/Pending with OIG	Continue its efforts to implement the Unified Financial Management System (UFMS) in order to submit supported and accurate data to beta.USASpending.gov and to be in compliance with OMB Memorandum M-15-12.
939	<u>Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California</u>	FBI	09/29/2017	1	Resolved	Ensure that it implements the required physical access controls to properly track and maintain its distribution of keycards to ensure that all former employee's keycards have been deactivated.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
940	<u>Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California</u>	FBI	09/29/2017	3	Resolved	Ensure that the distribution of all keycards are properly documented and limited to personnel designated by laboratory management, including performing a review of all unknown keycards and deactivating duplicate keycards.
941	<u>Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California</u>	FBI	09/29/2017	4	Resolved	Ensure that the LASD Laboratory strengthen physical security over the CODIS server and client terminals against any unauthorized personnel gaining access to the computer equipment or to any of the stored data.
942	<u>Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California</u>	FBI	09/29/2017	5	Resolved	Ensure that the LASD Laboratory has adequate physical security measures in place to protect against unauthorized personnel gaining access to any DNA records or data.
943	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont</u>	OJP	09/27/2017	1	Resolved	Remedy \$1,324,113 in unsupported expenditures resulting from costs associated with: personnel and fringe benefits (\$1,223,091), consultants (\$49,162), travel, equipment, and other direct costs (\$38,360), and subrecipients (\$13,500).
944	<u>Management Advisory Memorandum: Referring Alleged Misconduct to the Federal Bureau of Investigation's Inspection Division and the Department of Justice's Office of the Inspector General</u>	FBI	09/25/2017	1	On Hold/Pending with OIG	Consider immediate actions to ensure the appropriate reporting of allegations of employee misconduct to the INSD and the OIG as required by FBI and Department policies and federal regulations.
945	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	1	On Hold/Pending with OIG	Track, summarize, and annually report InTP performance metrics as required.
946	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	2	On Hold/Pending with OIG	Ensure that leads and referrals concerning insider threats are handled and monitored in a systematic way, including making sure that leads go to the appropriate point of contact at each internal FBI component.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
947	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	3	On Hold/Pending with OIG	Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems.
948	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	4	On Hold/Pending with OIG	Conduct a comprehensive inventory of classified networks, systems, applications, and other information technology assets and identify a component responsible for maintaining the inventory.
949	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	5	On Hold/Pending with OIG	Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage.
950	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois</u>	OJP	09/20/2017	2	Resolved	Remedy the \$1,588,614 in unallowable advances to grant subrecipients.
951	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois</u>	OJP	09/20/2017	7	Resolved	Remedy the \$72,479 in unsupported subrecipient expenditures charged to the grant.
952	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois</u>	OJP	09/20/2017	8	Resolved	Remedy the \$1,965 in unsupported contractor expenses charged to the grant.
953	<u>Report of Investigation of the Actions of Former DEA Leadership in Connection with the Reinstatement of a Security Clearance</u>	ODAG	09/07/2017	2	Resolved	Amend or supplement the Department Security Officer's delegation of authority to clarify that for the purpose of security adjudications, SPMs report solely to the Department Security Officer, and not to senior officials within the components.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
954	<u>Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications</u>	ODAG	09/06/2017	1	Resolved	Issue or clarify policies to require DOJ security offices to routinely request relevant misconduct-related materials from relevant DOJ misconduct offices for consideration in connection with security clearance adjudications. Such policies should include a clear definition of relevant misconduct-related materials that ensures that security offices receive the necessary and appropriate information to make fully-informed decisions.
955	<u>Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications</u>	ODAG	09/06/2017	2	Resolved	Issue or clarify policies to require DOJ misconduct offices to provide such relevant misconduct-related materials to DOJ security offices or OPM to ensure timely and informed security clearance adjudications, whether those materials arise as a result of a misconduct investigation or are requested in connection with a security clearance adjudication.
956	<u>A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program</u>	OJP	07/25/2017	2	Resolved	Consider issuing guidance clarifying the circumstances under which juveniles may be confined in unoccupied adult jails consistent with the Jail Removal core requirement.
957	<u>A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program</u>	OJP	07/25/2017	3	Resolved	Expediently notify all states and other interested parties that the VCO non-offender regulation has been determined to be ultra vires.
958	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Title II Part B Formula Grant Program Related to Allegations of the OJJDP's Inappropriate Conduct</u>	OJP	07/24/2017	3	Resolved	Finalize its OJJDP Guidance Manual-Audit of Compliance Monitoring Systems that was under development as of May 2017.
959	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	1	Resolved	Establish in policy the circumstances that warrant the placement of inmates in single-cell confinement while maintaining institutional and inmate safety and security and ensuring appropriate, meaningful human contact and out-of-cell opportunities to mitigate mental health concerns.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
960	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	2	Resolved	Define and establish in policy extended placement in measureable terms.
961	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	3	Resolved	Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement.
962	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	4	Resolved	Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies.
963	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	5	Resolved	Evaluate and limit as appropriate the consecutive amount of time that inmates with serious mental illness may spend in restrictive housing.
964	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	15	Resolved	Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program.
965	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	1	On Hold/Pending with OIG	Establish procedures that will require sufficient training and de-confliction between DEA personnel and host nation counterparts on their respective deadly force policies before commencing future counter-narcotics operations outside the United States
966	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	2	On Hold/Pending with OIG	Establish protocols that will ensure that, in joint operations abroad in which critical incidents are possible, appropriate and sufficient mechanisms are in place in the event of a critical incident to support the law enforcement personnel on the ground (including the availability of additional forces and airlift support), provide for the processing of the crime scene without prolonged delay, and allow for any and all search and rescue missions that may become necessary.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
967	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	3	On Hold/Pending with OIG	Revised post shooting procedures to ensure DEA investigates all shootings during joint operations abroad in which initial reporting or available information is that DEA personnel either may have discharged their weapons or instructed other individuals to fire their weapons, was in a position to discharge their weapons or instruct other individuals to fire, or it is determined that DEA nevertheless played a leadership role in the operation.
968	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	4	On Hold/Pending with OIG	Because DEA's post-shooting incident procedures do not provide delegation guidelines for foreign incidents or, in the case of domestic incidents, state whether the investigation will be investigated directly by IN or delegated to the field when the incident involves significant injuries, death, or other significant liabilities, DEA's procedures should be revised to clarify the circumstances under which shooting incidents are to be investigated directly by the Office of Inspections and the circumstances under which investigations will be delegated to the field.
969	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	5	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to include a requirement that will ensure that, when delegated to the field, the supervisory agent assigned to conduct the investigation will be someone outside the supervisory chain or program of the shooter and relevant witnesses.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
970	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	ODAG	05/24/2017	7	Resolved	Determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
971	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	8	On Hold/Pending with OIG	In connection with future counternarcotics operations outside the United States, DEA should work with the relevant U.S. Embassy and host nation partners to develop a specific protocol, in advance of the operation, that will determine which entity or entities will investigate a shooting or other critical incident that occurs during the operation; the scope and requirements of such an investigation; what information will be shared between and among the relevant entities for use in such investigation and when that will occur; and the time-frame and procedures for sharing of the results of the investigation. Such protocols should ensure timely access to relevant information by the Chief of Mission, as well as whatever entity or entities is or are involved in the investigation of any shooting or other critical incident, and include a procedure to identify and resolve conflicting evidence or investigative gaps when more than one such entity is involved in the investigation. To the extent DEA and the COM determine that certain information should not be provided to the host nation, DEA should work with the Embassy to ensure that any investigation conducted by the host nation receives sufficient information to allow for a meaningful and thorough review of the relevant facts. DEA should not undertake future joint counternarcotics operations with its foreign counterparts outside the United States in instances where it is unable to reach agreement with the U.S. Embassy and its foreign counterparts in advance on such basic post-incident protocols, at least in circumstances where shootings or other critical incidents are a possibility.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
972	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	6a	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the inspection of all weapons of DEA personnel and task force officers to ensure that all such weapons fired during the shooting are identified and that all weapons not fired are identified. All DEA personnel and task force officers should understand that weapons checks must be done as soon as it is practical to do so and procedures should specify how such weapons checks must be conducted, including whether a standard load procedure or other mechanism is required to ensure that missing rounds will be identified.
973	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	6b	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific guidance to the supervisory special agent or inspector assigned to investigate the incident regarding the appropriate steps that should be taken to investigate the incident in addition to the collection of relevant documents.
974	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	6c	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the conduct of interviews and preparation and collection of witness statements.
975	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	6	Resolved	Ensure that FBIC completes all planned objectives for Grant Number 2012-IC-BX-0007.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
976	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	10	Resolved	Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.
977	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OVW	05/10/2017	10	Resolved	Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.
978	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	15	Resolved	Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
979	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OVW	05/10/2017	15	Resolved	Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
980	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OVW	05/10/2017	3c	Resolved	Remedy the \$25,000 in contractual prosecution service transactions that were not adequately documented.
981	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	7a	Resolved	Assess the FBIC's ability to complete the program goals prior to the end of the award and ensure program sustainability for Grant Number 2014-CZ-BX-0013.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
982	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	7b	Resolved	Assess the FBIC's ability to complete the program goals for Grant Number 2015-AC-BX-0011 prior to the end of the award.
983	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	8a	Resolved	Remedy the \$3,100 in unsupported personnel and fringe costs.
984	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	8b	Resolved	Remedy the \$14,163 in unallowable contractual prosecution services.
985	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	8c	Resolved	Remedy the \$12,421 in unallowable other direct costs.
986	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	8d	Resolved	Remedy the \$5,232 in unallowable indirect costs.
987	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	9a	Resolved	Remedy the \$6,092 in unsupported personnel and fringe costs.
988	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	9b	Resolved	Remedy the \$49,583 in unsupported contractual prosecution services.
989	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	9c	Resolved	Remedy the \$2,161 in unsupported other direct costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
990	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	9d	Resolved	Remedy the \$30,042 in unsupported excess drawdowns.
991	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut</u>	OVW	03/28/2017	1	Resolved	Ensure that SCESA implements a financial system that corrects significant internal control deficiencies and produces consistent, accurate, reliable, and verifiable reporting.
992	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut</u>	OVW	03/28/2017	2	Resolved	Ensure SCESA remedies \$2,339,435 in unsupported costs representing the full amount of the awards we audited.
993	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut</u>	OVW	03/28/2017	3	Resolved	Ensure SCESA develops written policies and procedures to ensure personnel and fringe benefit expenditures are accurately charged to the awards and include timesheets that show evidence of supervisory approval.
994	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut</u>	OVW	03/28/2017	5	Resolved	Ensure SCESA develops policies and procedures that ensure it completes its Single Audit Report as required, and in a timely manner, so that award funds totaling \$1,208,853 are not unduly placed at risk.
995	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut</u>	OVW	03/28/2017	6	Resolved	Ensure the Board takes a more active oversight role in monitoring and oversight of the organization's overall financial status, programmatic performance, and ongoing awards, holding the Executive Director accountable for results, and developing and implementing appropriate policies and procedures to guide the operation and administration of the organization.
996	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016</u>	JMD	03/27/2017	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
997	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016</u>	JMD	03/27/2017	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
998	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	1	On Hold/Pending with OIG	Remedy \$59,590 in unsupported drug buy expenditures from FY 2011 through FY 2015 as identified.
999	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	3	On Hold/Pending with OIG	Remedy \$43,851 in unsupported overtime expenditures from FY 2011 through FY 2015 as identified.
1000	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	4	On Hold/Pending with OIG	Remedy \$73,329 of garage expenditures identified as unsupported.
1001	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	6	On Hold/Pending with OIG	Remedy \$110,548 of unsupported supplies and equipment purchased not properly procured under the Franklin County purchasing policy.
1002	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	7	On Hold/Pending with OIG	Remedy \$45,061 in unsupported telecommunication's expenditures.
1003	<u>Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania</u>	OVW	02/14/2017	6	Resolved	Remedy \$626,133 in unsupported personnel and fringe benefits of subrecipient expenditures.
1004	<u>Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania</u>	OVW	02/14/2017	8	Resolved	Remedy \$72,000 in unsupported consultant expenses.
1005	<u>Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program</u>	OJP	01/18/2017	3a	Resolved	Remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1006	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	3	Resolved	Remedy \$2,549 in inadequately supported questioned costs relating to grant expenditures
1007	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	4	Resolved	Remedy \$21,311 for unallowable salary and associated fringe benefits.
1008	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	5	Resolved	Remedy \$24,149 in inadequately supported salary and fringe benefits.
1009	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	6	Resolved	Remedy \$1,085 in inadequately supported fringe benefits (health insurance premiums).
1010	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	8	Resolved	Remedy \$284,785 in inadequately supported LGPD and CUPO costs.
1011	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9a	Resolved	Remedy \$4,511 in unallowable Probation Officer costs.
1012	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9b	Resolved	Remedy \$7,076 in unallowable counselor costs for payments more than the contracted rate.
1013	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9c	Resolved	Remedy \$6,520 in unallowable counselor costs payments for no-shows and cancellations of scheduled victim counseling sessions.
1014	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania</u>	OJP	12/27/2016	1b	On Hold/Pending with OIG	Remedy \$120,166 in unallowable contract and consultant expenditures that were not procured competitively.
1015	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania</u>	OJP	12/27/2016	1d	On Hold/Pending with OIG	Remedy \$3,500 in unallowable consultant expenditures that exceeded the maximum allowable consultant rate without prior approval from OJJDP.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1016	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania</u>	OJP	12/27/2016	1e	On Hold/Pending with OIG	Remedy \$18,860 in unsupported consultant expenditures.
1017	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania</u>	OJP	12/27/2016	1g	On Hold/Pending with OIG	Remedy \$1,948,194 in subrecipient expenditures that included unsupported personnel, fringe benefits, indirect costs, and second-tier subawards.
1018	<u>A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014</u>	FBI	09/29/2016	1	Response Not Yet Due	Continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases.
1019	<u>Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds</u>	OJP	09/21/2016	1	Resolved	Remedy the \$505,148 in unsupported costs due to the absence of justification in the selection of contracts on a sole source basis.
1020	<u>Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds</u>	OJP	09/21/2016	2	Resolved	Remedy the \$2,457,784 in unsupported costs due to inadequate justification in the selection of contractors on a sole source basis.
1021	<u>Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds</u>	OJP	09/21/2016	9a	Resolved	Remedy the \$66,287 in unsupported costs regarding vendors hired by FirstPic, Inc. to complete contract service tasks in which the Boys and Girls Clubs identified FirstPic, Inc. as a sole source provider for those tasks.
1022	<u>Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds</u>	OJP	09/21/2016	9b	Resolved	Remedy the \$4,630 in unsupported costs regarding the remaining other direct costs in which FirstPic, Inc. did not provide sufficient support for the costs billed and paid.
1023	<u>Follow-Up Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements</u>	JMD	09/12/2016	3	Resolved	Publish the updated Mandatory Declassification Review process in the Federal Register to ensure compliance with EO 13526.
1024	<u>A Review of ATF's Undercover Storefront Operations</u>	ODAG	09/08/2016	10	Resolved	Promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1025	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	1	Resolved	Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions.
1026	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	2	Resolved	Consider implementing the use of validated assessment tools to assess specific inmate programming needs.
1027	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	5	Resolved	Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs.
1028	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	7	Resolved	Establish a mechanism to assess the extent that, through the Release Preparation Program, inmates gain relevant skills and knowledge to prepare them for successful reentry to society.
1029	<u>Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California</u>	OVW	08/22/2016	4	Resolved	Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.
1030	<u>Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization</u>	FBI	07/20/2016	1	On Hold/Pending with OIG	Utilize an algorithmic, data driven, and objective methodology in the scoping and prioritization of cyber threat sets, including: <ul style="list-style-type: none"> • Document policies and procedures and provide training for the use of the methodology, including who should enter the data and how the data should be used in prioritizing cyber threat sets. • Ensure that the results of the threat ranking tool are updated automatically through integration with Sentinel and updated manually at least every 30 days so that emerging threat sets can be identified and mitigated in a timely manner.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1031	<u>Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization</u>	FBI	07/20/2016	2	On Hold/Pending with OIG	Develop and implement a record keeping system that tracks agent time utilization by threat set.
1032	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	3	Resolved	Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency.
1033	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	4	Resolved	Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use.
1034	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	5	Resolved	Restrict the size and content of personal property that staff may bring into BOP institutions.
1035	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	6	Resolved	Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action.
1036	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	11	Resolved	Evaluate the existing security camera system to identify needed upgrades, including to ensure [REDACTED.]
1037	<u>The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care</u>	BOP	06/08/2016	3	Resolved	Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending.
1038	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	1	Resolved	Temporarily shut down the stores operated by the FBIRA-NY until they are in compliance with New York State law and FBI Policy.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1039	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	2	Resolved	Revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds.
1040	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	3	Resolved	Provide appropriate training and guidance to FBIRA directors and FBI Field Division managers.
1041	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	5c	Resolved	Remedy the \$33,840 in unallowable unbudgeted general liability and health insurance costs.
1042	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	5f	Resolved	Remedy the \$422 in unallowable grant expenditures incurred after the grant end date for Grant Number 2008-IW-AX-0005.
1043	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	5h	Resolved	Remedy the \$1,761 in unallowable unbudgeted audit preparation costs.
1044	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	5j	Resolved	Remedy the \$7,294 in funds drawn down in excess of grant expenditures for Grant Number 2008-IW-AX-0005.
1045	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	6c	Resolved	Remedy the \$84,383 for grant expenditures with insufficient documentation incurred while under the fiscal agent.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1046	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	6d	Resolved	Remedy the \$11,461 in grant expenditures for consultant expenses with insufficient documentation.
1047	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	6e	Resolved	Remedy the \$20,260 for grant expenditures incurred for travel with insufficient documentation.
1048	<u>Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey</u>	OJP	03/29/2016	1	On Hold/Pending with OIG	Remedy \$244,233 in unsupported grant funds.
1049	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2015</u>	FBI	03/24/2016	20	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1050	<u>Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs</u>	DEA	02/17/2016	1a	Resolved	Reinforce, through official communication and training, that special agents document the gross weight of the exhibit on the DEA-6.
1051	<u>Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs</u>	DEA	02/17/2016	1b	Resolved	Reinforce, through official communication and training, that special agents completely fill out the Temporary Drug Ledger for each exhibit placed in temporary drug storage.
1052	<u>Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs</u>	DEA	02/17/2016	1c	Resolved	Reinforce, through official communication and training, that special agents complete the DEA-7 within the required timeframe.
1053	<u>Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs</u>	DEA	02/17/2016	1d	Resolved	Reinforce, through official communication and training, that special agents provide the appropriate memorandum documenting approval of the reasons for which exhibits are held for more than 3 business days in temporary storage.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1054	<u>Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs</u>	DEA	02/17/2016	1e	Resolved	Reinforce, through official communication and training, that special agents maintain both portions of the receipt.
1055	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	3	Resolved	Remedy the \$44,311 in grant reimbursements claimed for personnel costs not in the OVW-approved budgets.
1056	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	4	Resolved	Remedy the \$30,353 in grant reimbursements claimed for costs that were unallowable under the terms and conditions of the awards.
1057	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	5	Resolved	Remedy the \$29,675 in grant reimbursements claimed for rent the DCDV paid to itself for a building it owns.
1058	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	2b	Resolved	Remedy the \$872,246 in grant reimbursements expended on personnel costs that are not supported by detailed time and attendance records.
1059	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	2c	Resolved	Remedy the \$45,840 in grant reimbursements claimed for costs which are unsupported by grant documentation.
1060	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	2d	Resolved	Remedy the \$199,427 in grant reimbursements claimed for expenditures for which supporting documentation has been destroyed.
1061	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine</u>	OJP	09/30/2015	1a	Resolved	Remedy unsupported expenditures resulting from costs associated with consultant expenditures due to lack of time and effort reports (expenditures of \$157,351) and insufficient time and effort reports (expenditures of \$32,130).
1062	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine</u>	OJP	09/30/2015	1b	Resolved	Remedy unsupported expenditures resulting from costs associated with personnel and fringe benefit expenditures that were not properly supported (expenditures of \$46,061; \$11,372 from the BJA Tribal Courts Assistance Program grant, \$17,023 from the FY 2009 Tribal Youth Program grant, and \$17,666 from the FY 2011 Tribal Youth Program grant).

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1063	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine</u>	OJP	09/30/2015	2a	Resolved	Remedy unallowable expenditures resulting from costs associated with consultant expenditures where consultant did not enter into an agreement or contract with Penobscot Nation (expenditures of \$157,351) related to the Tribal Youth Program grants and a fringe benefit expenditure that was misclassified and was not approved in the BJA Tribal Courts Assistance Program grant (expenditure of \$2,444).
1064	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine</u>	OJP	09/30/2015	2b	Resolved	Remedy unallowable expenditures resulting from costs associated with personnel that was not properly supported or approved by OJP (expenditures of \$20,598) related to the FY 2009 Tribal Youth Program, and personnel that was not properly supported or approved by OJP (expenditures of \$18,134) related to the BJA Tribal Courts Assistance Program.
1065	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine</u>	OJP	09/30/2015	2c	Resolved	Remedy unallowable expenditures resulting from costs associated with travel expenditures that were not approved in compliance with the Penobscot Indian Nation Travel Policies and grant award terms and conditions (expenditures of \$28,102).
1066	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine</u>	OJP	09/30/2015	2d	Resolved	Remedy unallowable expenditures resulting from costs associated with other costs that was not approved in the grant budget (\$5,120).
1067	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine</u>	OJP	09/30/2015	2e	Resolved	Remedy unallowable expenditures resulting from costs associated with equipment expenditures related to the BJA Tribal Courts Assistance Program grant that were not procured competitively and based on contract proposal (expenditures of \$44,410).
1068	<u>Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona</u>	OJP	09/28/2015	2	Resolved	Remedy the \$656,921 in unsupported questioned costs for Grant Number 2009-ST-B9-0089.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1069	<u>Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona</u>	OJP	09/28/2015	3	Resolved	Remedy the \$2,554,924 in unallowable questioned costs for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.
1070	<u>Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona</u>	OJP	09/28/2015	9	Resolved	Remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.
1071	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	4	Resolved	Ensure the NIJC has policies and procedures in place to ensure expenses are properly approved before payment; consultant agreements are in place and time and effort reports are submitted to support payments; signature pages are maintained for each training event where per diem is distributed; an indirect cost rate is approved before incurring indirect costs; and compliance with all grant special conditions.
1072	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	8	Resolved	Ensure that the NIJC implements a process to submit FFRs that accurately reflect expenditures for each reporting period.
1073	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	9	Resolved	Ensure that the NIJC determines the amount of the total tuition and registration fees collected from the training events partially funded with grant funds that should be considered program income for Grant Number 2011-VF-GX-K020 and either uses the program income for grant purposes or returns generated income to OJP.
1074	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	2d	Resolved	Remedy \$24,785 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$118,362 in grant reimbursements from Grant Number 2011-IP-BX-K001, and \$93,109 in grant reimbursements from Grant Number 2011-VF-GX-K020 that were paid for indirect costs without an approved indirect cost rate.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1075	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3a	Resolved	Remedy \$216,460 in grant reimbursements from Grant Number 2011-IP-BX-K001 for payments to a consultant that were not within the requirements of the MOU or OJP Financial Guide.
1076	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3b	Resolved	Remedy \$18,900 in grant reimbursements from Grant Number 2010-IC-BX-K051 and \$74,508 from Grant Number 2011-VF-GX-K020 for payments to consultants without sufficient time and effort reports.
1077	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3c	Resolved	Remedy \$369,418 in unsupported costs related to the following issues: \$9,865 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$43,084 from Grant Number 2011-IP-BX-K001, and \$3,385 from Grant Number 2011-VF-GX-K020 for payments to consultants without an agreement.
1078	<u>Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico</u>	OJP	09/21/2015	2	Resolved	Remedy \$887,752 in grant funds expended for contracts that were not competitively awarded and not approved in advance by OJP as sole-source procurements.
1079	<u>Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico</u>	OJP	09/21/2015	6	Resolved	Ensure the PRDOJ takes steps to address the turnover of grant management staff at the External Resources Division by obtaining a plan to address the turnover and monitoring the implementation of the plan.
1080	<u>Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico</u>	OJP	09/21/2015	4a	Resolved	Remedy \$259,730 in grant expenditures not supported by adequate documentation from Grant Number 2008-DJ-BX-0050.
1081	<u>Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico</u>	OJP	09/21/2015	4c	Resolved	Remedy \$405,412 in grant expenditures not supported by adequate documentation from Grant Number 2009-DJ-BX-1102.
1082	<u>Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico</u>	OJP	09/21/2015	4d	Resolved	Remedy \$1,095,102 in grant expenditures not supported by adequate documentation from Grant Number 2009-SU-B9-0053.
1083	<u>Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico</u>	OJP	09/21/2015	4f	Resolved	Remedy \$2,461 in grant expenditures not supported by adequate documentation from Grant Number 2009-VC-GX-0045.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1084	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	13	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1085	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	21	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1086	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	24	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1087	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	37	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1088	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	38	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1089	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	39	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1090	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	40	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1091	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	41	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1092	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	5	Resolved	Study the feasibility of creating units, institutions, or other structures specifically for aging inmates in those institutions with high concentrations of aging inmates.
1093	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	6	Resolved	Systematically identify programming needs of aging inmates and develop programs and activities to meet those needs.
1094	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	7	Resolved	Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates.
1095	<u>Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah</u>	OVW	04/20/2015	7	Resolved	Coordinate with the UDVAC to retroactively apply methodology to determine the amount of program income generated by each award, and to require that the UDVAC provide documentation to support that the applicable program income was spent in accordance with the terms and conditions of the OVW Financial Grants Management Guide. If UDVAC officials are unable to demonstrate that the program income was used in an allowable manner, then the associated income should be refunded to the OVW as appropriate.
1096	<u>Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah</u>	OVW	04/20/2015	4b	Resolved	Remedy the \$12,065 in drawdowns not supported by the UDVAC accounting records.
1097	<u>Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah</u>	OVW	04/20/2015	5a	Resolved	Remedy the Unallowable contractor charges totaling \$78,881 charged to Grant Number 2011-WR-AX-0008.
1098	<u>Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah</u>	OVW	04/20/2015	5b	Resolved	Remedy unallowable personnel and audit expenses totaling \$3,628 charged to Grant Number 2012-DW-AX-0029.
1099	<u>Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah</u>	OVW	04/20/2015	5c	Resolved	Remedy drawdowns totaling \$12,065 that were made for expenses incurred after the end of the project period.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1100	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	5	Resolved	Ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment.
1101	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	7	Resolved	All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes.
1102	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	8	Resolved	All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct.
1103	<u>Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities</u>	DEA	01/28/2015	1	On Hold/Pending with OIG	Consider how to determine if cold consent encounters are being conducted in an impartial manner, including reinstituting the collection of racial and other demographic data and how it could be used to make that assessment.
1104	<u>Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities</u>	DEA	01/28/2015	2	On Hold/Pending with OIG	Develop a way to track cold consent encounters and their results and use the information collected to gain a better understanding of whether and under what circumstances they are an effective use of law enforcement resources.
1105	<u>Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities</u>	DEA	01/28/2015	4	Resolved	Ensure appropriate coordination of training, policies, and operations for conducting cold consent encounters and searches, including assessing which policies should apply to cold consent searches at transportation facilities and ensuring that interdiction TFG members know when and how to apply them.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1106	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	1	Resolved	Remedy the \$166,469 in excess drawdowns.
1107	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	2	Resolved	Remedy the \$29,583 in unsupported costs due to missing files or inadequate documentation.
1108	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	3	Resolved	Remedy the \$1,096 in unallowable costs related to IRS fines.
1109	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	4	Resolved	Remedy the \$6,758 in unallowable unbudgeted personnel costs.
1110	<u>A Review of ATF's Investigation of Jean Baptiste Kingery</u>	ODAG	10/30/2014	1	Resolved	Engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships.
1111	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	3	Unresolved	Notify the President's Intelligence Oversight Board concerning the unauthorized collections found in this review containing [redacted-classified] from two providers and seek guidance on whether the FBI should undertake the effort necessary to identify and remove similar unauthorized collections that likely remain in many FBI case files.
1112	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	8	Unresolved	Revive their efforts to bring about a legislative amendment to Section 2709 by submitting another proposal that defines the phrase "toll billing records."
1113	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	9	Resolved	Take steps to ensure that it does not request or obtain "associated" records without a separate determination and certification of relevance to an authorized national security investigation.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1114	<u>Audit of the OVW Rural Domestic Violence, Sexual Assault, and Stalking Assistance Program Grant Awarded to the Crisis Center For Domestic Abuse and Sexual Assault, Fremont, Nebraska</u>	OVW	05/22/2014	5	Resolved	Remedy the \$10,273 in reimbursements for unsupported subgrantee personnel costs.
1115	<u>The Drug Enforcement Administration's Adjudication of Registrant Actions</u>	DEA	05/20/2014	2	Resolved	Establish policy and procedures, including timeliness guidelines for forwarding a case to the Office of the Administrator for final decision when a hearing is waived or terminated.
1116	<u>Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings</u>	FBI	04/10/2014	1	Resolved	Clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.
1117	<u>A Review of ATF's Operation Fast and Furious and Related Matters</u>	FBI	09/19/2012	4	Resolved	Review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function.
1118	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1119	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1120	<u>Audit of the Office on Violence Against Women Technical Assistance Cooperative Agreements Administered by the Pennsylvania Coalition Against Rape, Enola, Pennsylvania</u>	OVW	09/04/2012	2	Resolved	Remedy \$298,980 in unsupportable consultant costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1121	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	2	Resolved	Remedy the \$2,282,513 in expenditures for equipment not adequately supported or safeguarded by a property management system with periodic inventories.
1122	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	3	Resolved	Ensure Newark implement and adhere to policies and procedures for submitting timely FSRs and accurate progress reports.
1123	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	4	Resolved	Ensure that Newark implements and adheres to policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: adhering to procurement regulations, approving grant expenditures in accordance with applicable budgets, and safeguarding equipment.
1124	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1a	Resolved	Remedy unallowable expenditures resulting from project changes that were not approved by COPS and failure to achieve the voice communication objective of the grant (net project costs of \$3,539,432).
1125	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1b	Resolved	Remedy unallowable expenditures resulting from purchase of equipment not competitively procured and not authorized for purchase under the New Jersey Cooperative Purchasing Program (net expenditures of \$2,777,569).
1126	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1c	Resolved	Remedy unallowable expenditures resulting from purchase of a mobile communications command center vehicle procured in a manner that likely hindered an open and competitive bid process (\$626,221).
1127	<u>Audit of the Office of Community Oriented Policing Services Grant Awarded to the City of Wilmington, Delaware</u>	COPS	05/11/2012	1	Resolved	Remedy the \$2,990,985 in expenditures that were unsupported as a result of deficiencies related to contract competition, equipment, and an electrical study.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1128	<u>Audit of the Office of Community Oriented Policing Services Grant Awarded to the City of Wilmington, Delaware</u>	COPS	05/11/2012	4	Resolved	Ensure grant-funded equipment is properly recorded and reconciled to a physical inventory at least once every 2 years in accordance with the federal regulations.
1129	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	1	Resolved	Remedy the \$9,076,609 in unsupportable grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.
1130	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	2	Resolved	Remedy the \$9,076,609 in unallowable grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.
1131	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	3	Resolved	Remedy the \$1,278 in unsupported overtime expenditures.
1132	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	4	Resolved	Remedy the \$2,468,129 in excess drawdowns that are unrelated to the grant award.
1133	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	5	Resolved	Remedy the \$2,066,564 deficiency in the match requirement.
1134	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	6	Resolved	Remedy the \$1,531,142 in unallowable local match expenditures that are unrelated to the grant award.
1135	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	7	Resolved	Continue monitoring the grant to ensure grant objectives are met.
1136	<u>Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York</u>	COPS	01/10/2011	9	Resolved	Ensure that equipment purchased with grant funding is identified and included in a property management system as required.
1137	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	2	On Hold/Pending with OIG	Establish Procedures to Track Source of Facts Provided to the Public and Congress.
1138	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	3	On Hold/Pending with OIG	Require Identification of Federal Crime as Part of Documenting Predication.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1139	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	4	On Hold/Pending with OIG	Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events.
1140	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	5	On Hold/Pending with OIG	Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters.
1141	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	1	On Hold/Pending with OIG	Assess its discovery obligations regarding Stellar Wind-derived information in international terrorism prosecutions.
1142	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	2	On Hold/Pending with OIG	Carefully consider whether it must re-examine past cases to see whether potentially discoverable but undisclosed Rule 16 or Brady material was collected by the NSA under the program, and take appropriate steps to ensure that it has complied with its discovery obligations in such cases.
1143	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	3	On Hold/Pending with OIG	As part of the [Redacted] project, the Justice Department's National Security Division (NSD), working with the FBI, should collect information about the quantity of telephone numbers and e-mail addresses disseminated to FBI field offices that are assigned as Action leads and that require offices to conduct threat assessments.
1144	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	4	On Hold/Pending with OIG	Consistent with NSD's current oversight activities and as part of its periodic reviews of national security investigations at FBI Headquarters and field offices, NSD should review a representative sampling [Redacted] leads to those offices.
1145	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	5	On Hold/Pending with OIG	In coordination with the NSA, implement a procedure to identify Stellar Wind-derived information that may be associated with international terrorism cases currently pending or likely to be brought in the future and evaluate whether such information should be disclosed in light of the government's discovery obligations under Rule 16 and Brady.

Department of Justice Office of the Inspector General - Recommendations Not Closed

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1146	<u>An Investigation of Allegations of Politicized Hiring by Monica Goodling and Other Staff in the Office of the Attorney General</u>	OAG	07/28/2008	1	Unresolved	Clarify its policies regarding the use of political or ideological affiliations to select career attorney candidates for temporary details within the Department.
1147	<u>A Review of the FBI's Use of National Security Letters: Assessment of Corrective Actions and Examination of NSL Usage in 2006</u>	FBI	03/17/2008	2	Resolved	Implement measures to verify the accuracy of data entry into the new NSL data system by including periodic reviews of a sample of NSLs in the database to ensure that the training provided on data entry to the support staff of the FBI OGC National Security Law Branch (NSLB), other Headquarters divisions, and field personnel is successfully applied in practice and has reduced or eliminated data entry errors.
1148	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	03/31/2006	1	On Hold/Pending with OIG	Continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews.
1149	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	03/31/2006	2	On Hold/Pending with OIG	Require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file.
1150	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	03/31/2006	3	On Hold/Pending with OIG	Require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted.
1151	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	03/31/2006	6	On Hold/Pending with OIG	Require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting.
1152	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	03/31/2006	7	On Hold/Pending with OIG	Require alternate case agents to meet with the source on a regular basis, together with the case agent.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/20	Recommendation Description
1153	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	03/31/2006	8	On Hold/Pending with OIG	Limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only.